



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C12N 15/80, 1/15 // (C12N 1/15, C12R 1:665, 1:685, 1:77, 1:885)</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/32641</b> (43) International Publication Date: 1 July 1999 (01.07.99)
---	-----------	--

(21) International Application Number: PCT/EP98/06519

(22) International Filing Date: 6 October 1998 (06.10.98)

(30) Priority Data:  
97204062.0 22 December 1997 (22.12.97) EP

(71) Applicant (for all designated States except AU BB CA CY GB GD GH IE KE LK LS MN MW NZ SD SG SZ TT UG): UNILEVER N.V. [NL/NL]; Weena 455, NL-3013 AL, Rotterdam (NL).

(71) Applicant (for AU BB CA CY GB GD GH IE KE LK LS MN MW NZ SD SG SZ TT UG only): UNILEVER PLC [GB/GB]; Unilever House, Blackfriars, London EC4P 4BQ (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): DE GROOT, Marcellus, Johannes, A. [NL/NL]; Unilever Research Vlaardingen, Olivier van Noortlaan 120, NL-3130 AC Vlaardingen (NL). BEIJERSBERGEN, Alida, Godelieve, M. [NL/NL]; Unilever Research Vlaardingen, Olivier van Noortlaan 120, NL-3130 AC Vlaardingen (NL). MUSTERS, Wouter [NL/NL]; Unilever Research Vlaardingen, Olivier van Noortlaan 120, NL-3130 AC Vlaardingen (NL).

(74) Agent: VAN DER TOORREN, Johannes; Unilever N.V., Patent Dept., P.O. Box 137, NL-3130 AC Vlaardingen (NL).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

With international search report.

(54) Title: A PROCESS FOR SITE-DIRECTED INTEGRATION OF MULTIPLE COPIES OF A GENE IN A MOULD

(57) Abstract

A process for site-directed integration of multiple copies of a gene in a mould is provided, which comprises transforming a mould cell containing in its chromosomal DNA a restriction site for a rare-cutting endonuclease, e.g., *I-SceI*, preferably introduced at a desired locus, e.g., within a selectable marker gene or in the neighbourhood thereof, with a piece of DNA comprising multiple copies of at least one expressible gene comprising at least one structural gene encoding a desired protein, surrounded by two DNA fragments homologous to part of the DNA upstream and downstream, and in the neighbourhood, of said restriction site, while during the transformation of the mould the presence of the rare-cutting endonuclease is provided, followed by selecting or screening for a mould cell in which the multiple gene copies of said expressible gene are inserted into the chromosomal DNA of the mould. The piece of DNA can comprise a third DNA fragment completing any disrupted or partially deleted selectable marker gene in the chromosomal DNA. Preferably the mould belongs to the genus *Aspergillus*, especially to the species *Aspergillus awamori*. Also provided are a transformed mould obtainable by a process according to the invention, a process for culturing such transformed mould, and a process for producing and optionally secreting a desired protein by culturing such transformed mould under conditions whereby the structural gene encoding said desired protein is expressed, and optionally isolating or concentrating the desired protein.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

Title:       A process for site-directed integration of  
             multiple copies of a gene in a mould

The invention relates to a process for site-directed  
5 integration of multiple copies of a gene in a mould, to a  
transformed mould obtainable by such process, to a process  
for culturing such transformed mould, and to a process for  
producing and optionally secreting a desired protein by  
culturing such transformed mould. In particular, the inven-  
10 tion provides a process for preparing a protein by a mould  
transformed by multicopy integration of at least one  
expressible gene comprising a structural gene encoding a  
desired protein into the genome of a mould, especially of  
moulds belonging to the genus *Aspergillus*.  
15 In this specification the expression "**expressible gene**"  
means a structural gene encoding a protein, either  
homologous or heterologous to the host organism, in  
combination with DNA sequences for proper transcription and  
translation of the structural gene, and optionally with  
20 secretion signal DNA sequences, which DNA sequences should  
be functional in the host mould. Further, in this  
specification the expressions "**mould**" and "**filamentous  
fungus**" are considered as synonyms.

25       Background of the invention and prior art

1.       Filamentous fungi and especially species such as  
*Aspergillus awamori*, *Aspergillus niger*, *Trichoderma reesei*  
and *Fusarium graminearum* have shown to be attractive hosts  
for large scale production of homologous and heterologous  
30 proteins. They have the capacity to secrete substantial  
amounts of protein into the medium, large scale fermenta-  
tion is generally well established and most of them they  
have a GRAS (Generally Recognized As Safe) status, which  
makes it possible to use these species in the food and  
35 food-processing industry. Moreover, the mould *Fusarium  
graminearum* A 3/5, the Quorn<sup>R</sup> myco-protein fungus, has also  
been used as a commercial human food source in the UK for

over 10 years (Royer et al.; Bio/Technology 13 (1995) 1479-1483).

The production of fungal proteins, of either homologous or heterologous origin, by filamentous fungi is usually very efficient and production levels of grams per litre were reached. However, compared to this the production levels of heterologous proteins of mammalian, bacterial or plant origin in moulds are relatively low. In order to improve the production of both homologous and heterologous proteins several strategies have been developed. The basic strategy that is commonly applied to achieve higher protein production in moulds is the introduction of multiple copies of the gene encoding the desired protein.

2. Whereas moulds have been successfully used for the production of enzymes, antibody fragments and peptides at laboratory and commercial scale (xylanase, pectinase, etc), the acceptance of products from these genetically modified organisms (GMO) in the market has experienced some unexpected difficulties in the past few years.

(a) In general there is a growing concern about the use of antibiotic resistance genes in genetically modified organisms. The main reason for this concern is the possibility that such a gene might be transferred into and expressed in gut micro-organisms, which would thereby become antibiotic resistant ("Report on the use of antibiotic resistance markers in genetically modified food organisms" published by the Advisory Committee on Novel Foods and Processes, Ministry of Agriculture, Fisheries and Food, England, 1994).

(b) Further, the presence of other foreign DNA such as remnants of vector DNA used in cloning is also undesired.

(c) Another concern is the fact that in general the genetically modified strains contain randomly integrated genetic material. In the perception of some consumer organisations this would constitute an unpredictable safety risk, and could mean a barrier to the acceptance of derived products.

3. Therefore, the recombinant mould should ideally contain **multiple** copies of the gene encoding the desired protein integrated at only a **predetermined** locus in the genome and **no other foreign DNA** should be present in order to produce proteins in moulds in both an economically attractive manner and in a way that deals with the concerns about genetically modified organisms as described above.

The generation of mould strains that meet these criteria has not been reported in literature.

The commonly applied system for integration of single or multiple copies of a gene into the genome of moulds, e.g. *Aspergillus*, *Trichoderma* and *Fusarium graminearum* makes use of plasmids which in addition to the gene encoding a desired protein contain bacterial marker genes encoding resistance to antibiotics (e.g. Ampicillin) and other vector sequences. Therefore, genetically modified moulds will usually contain antibiotic resistance genes and other vector DNA.

Whereas, targeted integrations of a **single** gene copy have been described regularly (e.g. Timberlake, "Gene Cloning and Analysis" (Chapter 3) in the book "More Gene Manipulations in Fungi" (1991) 51-85, edited by Bennett and Lasure; Gouka et al. Applied and Environmental Microbiology 62 (1996) 1951-1957) it has been proven to be very difficult to obtain mould strains that contain **multiple** gene copies integrated at a predetermined locus in the genome. Gouka et al. (Curr. Genet. 27 (1995) 536-540) reported the selection of targeted multi-copy integrations at the *pyrG* locus in *A. awamori*, but the recombinant strains were obtained from transformations in which DNA was used containing vector sequences and no information was presented on the number of gene copies that were integrated at the *pyrG* locus. For *Aspergillus nidulans* a similar observation on targeted tandem integration at the *argB* locus was published (Van den Hondel and Punt, "Gene

transfer systems and vector development" (Chapter 1) in the book "Applied Molecular Genetics" (1991) 1-28, edited by Peberdy et al.).

Several other publications indicate that **site-directed**  
5 **integration of multiple gene copies could not be obtained**, although it was desired for scientific or commercial purposes, (Kubicek-Pranz et al. J. of Biotech. 20 (1991) 83-94; Van den Hondel et al. Antonie van Leeuwenhoek 61 (1992) 153-160; Verdoes et al. Transgenic Research 2 (1993)  
10 84-92; Archer et al. Antonie van Leeuwenhoek 65 (1994) 245-250; Van Gemeren et al. Applied Microbiology and Biotechnology 45 (1996) 755-763; Van Gemeren, "Expression and secretion of defined cutinase variants by *Aspergillus awamori*" (Chapter 5) Thesis University of Utrecht (1997)  
15 ISBN 90-393-1229-X).

4. Previously, two processes have been described in literature that, in principle, might allow the generation of mould strains that contain multiple copies of a gene  
20 that are integrated at a predetermined locus in the genome without the presence of other foreign DNA.

The **first** process describes the preparation of a protein by a fungus transformed by site-directed multicopy integration  
25 of an expression vector in the ribosomal DNA locus of the fungal genome as described in International PCT patent application WO-A-91/00920; Unilever, published 24 January 1991. Although the Examples were carried out with yeasts, it was envisaged that such process is also applicable to  
30 moulds. Thus such process could make it possible to construct a mould strain in which multiple copies of a gene are integrated at a predetermined locus of the genome, without the presence of other foreign DNA.

35 However, transformation of moulds follows a somewhat different pattern than the transformation of yeasts. Whereas in the yeast *Saccharomyces cerevisiae* transforming DNA is integrated into the genome of the cell via

homologous recombination at the corresponding homologous site, in filamentous fungi such as the mould *Aspergillus awamori* DNA integrates mainly via illegitimate recombination at random sites in the genome (Finkelstein, "Transformation" Chapter 6 in the book "Biotechnology of Filamentous Fungi" (1992) 113-156, edited by Finkelstein and Ball). For instance, for the mould *A. awamori* Gouka et al. (Curr. Genet. 17 (1995) 536-540) performed an analysis on a large number of transformants and showed that DNA integrated via homologous recombination in approximately 10 % of the transformants, whereas the remaining 90 % integrated randomly. This means that transformants have to be screened for site-directed integration events. Therefore, a process for transformation of moulds as described in WO-A-91/00920 would require lengthy screening procedures because DNA that is introduced into the mould cell can also integrate randomly and not only via homologous recombination at the predetermined site.

The **second** process describes the site-directed integration of a **single** gene copy whereby any other heterologous DNA used for cloning and any heterologous mould selection marker are removed, as described in European patent application EP-A1-0 635 574; Gist-brocades N.V., published 25 January 1995.

If this second process would be used for preparing a transformed mould containing multiple gene copies, the process is very cumbersome, because the whole process need be repeated for each subsequent copy that needs to be introduced.

Although the repetition of the second process for obtaining multicopies is mentioned as simple statements in the specification (see e.g. page 3, lines 22-23, page 6, lines 21-25, page 7, lines 29-30, and page 8, lines 9-11, and 15-16), it was not shown in the Examples that it really works. In fact the statement "sequential application of the same technology" mentioned on page 8, lines 9-11 confirms the laborious character of this method for introducing multiple

gene copies at predetermined loci, covering both a single site and multiple sites.

A further disadvantage of the method described is the risk that the earlier introduced desired foreign DNA is removed  
5 during a subsequent repetition of the process.

In summary, items 1-4 above show that there exists a need in the field of mould biotechnology to construct mould strains containing multiple copies of a gene encoding a  
10 desired protein that are integrated at a predetermined locus in the genome and that are free of bacterial antibiotic resistance genes or of other foreign DNA such as remnants of vector DNA used in cloning. Ideally, the recombinant microorganism should only contain the  
15 heterologous gene encoding the desired protein.

#### Summary of the invention

The invention is applicable in the field of mould biotechnology and provides a new and more advanced process  
20 for site-directed integration of multiple copies of a gene in a mould without leaving any undesired DNA, i.e. without leaving in the transformed mould the selection marker used for selection of transformants or other DNA used for cloning. The invention is based on the specific  
25 introduction of a double-strand break at the chromosomal target in the mould cell which significantly enhances site-directed integration at that locus. Repair of the break with a repair DNA homologous to the regions flanking the break and including multiple copies of at least one gene  
30 encoding at least one desired protein will lead to simultaneously integration of those multiple copies at the locus of the break.

The present invention provides a process for transforming a  
35 mould, in which

- (1) multiple copies of a desired gene are integrated in the chromosome of said mould,



- (2) the integration in the mould genome is site-directed via homologous recombination in contrast to the usual random integration of moulds,
  - (3) such site-directed integration event is selected preferentially over any possible random integration event, e.g. by selecting for the restoration of a defective marker gene,
  - (4) remaining foreign DNA sequences, e.g. antibiotic resistance genes and DNA originating from other organisms, can be avoided, and
  - (5) a rare-cutting endonuclease, e.g. I-SceI, is used to introduce a double-strand break in the chromosomal DNA of the mould,
- Although the emphasis is given to the use of I-SceI as a rare-cutting endonuclease, it is envisaged that also other rare-cutting endonucleases can be used, including HO Endonuclease and VDE, the latter also being known as PI-SceI.

Brief description of the drawings

Figure 1. shows the construction of the plasmids pUR5710, pUR5711 and pUR5712, in which  
amp = ampicillin resistance gene, and  
pyrG = pyrG gene from *A. awamori*, 'pyrG or pyrG' indicates that the gene is truncated at the 5' or 3' end, respectively.

Figure 2. shows the construction of the plasmids pUR5713 (Figure 2A) and pUR5714 (Figure 2B), in which  
pBS-SK = pBluescript<sup>R</sup>-SK.

Figure 3. shows the construction of the plasmids pUR5716 and pUR5718, in which cos = cos site.

Figure 4. shows the construction of the plasmid pUR5729, in which  
Pex1A = Promoter sequences of the *A. awamori* 1,4- $\beta$ -endoxylanase A gene,

cut = coding region of the *F. solani pisi* cutinase gene  
(synthetic copy of cDNA), and

Tex1A = Terminator sequences of the *A. awamori* 1,4- $\beta$ -  
endoxylanase A gene.

5

**Figure 5.** shows the construction of the cosmids pUR5722 and  
pUR5725.

**Figure 6.** shows the construction of the plasmids pUR5736  
and pUR5737, in which

10

Pgpd = Promoter sequences of the *A. nidulans* *gpd* gene,

hph = coding region of the hygromycin phosphotransferase  
gene from *E. coli*, and

TtrpC = Terminator sequences from the *A. nidulans* *trpC*

15

gene.

**Figure 7.** shows the construction of plasmid pUR5724, in  
which I-SceI = synthetic gene encoding the *Saccharomyces*  
*cerevisiae* I-SceI endonuclease.

20

**Figure 8.** Experimental design of the process for site-  
directed integration of multiple copies of a gene in the  
mould *A. awamori* using the I-SceI endonuclease. The wild-  
type *pyrG* gene is depicted in the upper part of the figure.

25 The coding region of the gene is indicated by the light  
grey shaded box. Below this, the target locus containing  
the

I-SceI restriction site as present in the *A. awamori* strain

AWCSCE is shown. Between the non-functional 5' part of the

30 *pyrG* gene and 3' flanking sequences of the chromosomal *pyrG*  
locus an I-SceI site is present. The fragment that is

introduced into the strain AWCSCE contains a non-functional  
3' part of the *pyrG* gene that is partially homologous to

the mutated *pyrG* gene at the chromosomal target locus, one

35 or multiple gene copies (indicated by the dark grey shaded  
boxes 1,2 and n) comprising at least one structural gene  
encoding at least one desired protein and an additional

sequence from the *pyrG* locus that is homologous to

sequences present immediately downstream of the I-SceI site at the target locus. Simultaneously, the I-SceI endonuclease or an expression plasmid containing the I-SceI gene is co-introduced into the cell. After homologous recombination induced by a double-strand break at the I-SceI site an intact *pyrG* gene is restored and the multiple gene copies are simultaneously integrated at the *pyrG* locus, which is illustrated in the lower part of the figure.

10 **Figure 9.** shows the autoradiograph of the Southern blot of *A. awamori* genomic DNA probed with an 18 bp end-labelled oligonucleotide representing the I-SceI restriction site. The genomic DNA was digested with *Sau3A*. M represents the 1 kb DNA marker (BRL), lanes 1, 2 and 3 contain samples of  
15 the plasmid pSCM522 digested with *HinfI* (control DNA substrate containing the I-SceI restriction site, supplied with the I-SceI endonuclease from Boehringer Mannheim, cat. no. 1497235) in concentrations that correspond to 200, 20 and a single copy of the I-SceI restriction site(s) in the  
20 genome of *A. awamori*, respectively. Lanes 4 and 5 contain *Sau3A* digested genomic *A. awamori* DNA (7.5  $\mu$ g). Lanes 6, 7 and 8 contain samples of plasmid pUR5712 in concentrations that correspond to a single copy, 20 and 200 copies of the I-SceI restriction site(s) in the genome of *A. awamori*.

25 **Figure 10.** shows the autoradiograph of the Southern blot of the two *A. awamori* mutant *pyrG* strains. The genomic DNA was digested with *BglII* and I-SceI and probed with a 2.4 kb *BamHI* x *HindIII* fragment from plasmid pAW4.1 containing the  
30 *A. awamori pyrG* gene. M represents the 1 kb DNA marker (BRL), Lane 1 and 2 contain genomic DNA of the *A. awamori* mutant *pyrG* strains. Lane 3 contains genomic DNA from the non-transformed wild-type *A. awamori* strain.

35 **Figure 11.** Southern analysis of the recombinant strains obtained in the transformation of *A. awamori* strain AWCSCE (see Example 4). The genomic DNA was digested with *SalI* or *BglII* and probed with a number of different probes (see

Example 5). The two probes that are used in the Southern blots shown in Figure 12 are indicated;

- pyrG* = a 2.4 kb *Bam*HI x *Hind*III fragment from pAW4.1 containing the *A. awamori pyrG* gene.
- 5    *Tex1A* = 0.5 kb *Afl*III x *Sac*I fragment from pUR5729 containing the *ex1A* terminator.

The wild-type *pyrG* gene is depicted in the upper part of the figure. The *Sal*I digestion will give a 3.3 kb and a 3.8  
10 kb fragment which fragments will hybridize with the *pyrG* probe. The *Bgl*III digestion will give a 9.0 kb and a 2.7 kb fragment, which fragments do not hybridize with the *Tex1A* probe.

- 15 Below this, the target locus containing the *I-Sce*I restriction site as present in the *A. awamori* strain AWCSCE is shown. The *Sal*I digestion will give a 3.3 kb and a 3.0 kb fragment of which only the 3.3 kb fragment will hybridize with the *pyrG* probe. The *Bgl*III digestion will  
20 give a 9.0 kb and a 2.7 kb fragment, which fragments do not hybridize with the *Tex1A* probe.

The lower part of the figure shows a restored *pyrG* gene containing none or multiple gene copies of the cutinase gene. The *Sal*I digestion will give a 3.3 fragment and in  
25 recombinants obtained with pUR5718 a 3.7 kb fragment, in recombinants obtained with pUR5722 a 9.6 kb fragment and in recombinants obtained with pUR5725 a 17.1 kb fragment. All these fragments will hybridize with the *pyrG* probe. The *Bgl*III digestion will give a 9.0 kb fragment and in  
30 recombinants obtained with pUR5718 a 2.6 kb fragment, in recombinants obtained with pUR5722 or pUR5725 a 1.7 kb or a 1.9 kb fragment (depends on the orientation of the cutinase gene compared to the *pyrG* gene) and a 1.5 kb fragment, which is derived from the tandem repeat of the cutinase  
35 gene. Only the fragments containing the *ex1A* terminator present in the cutinase expression cassette will hybridize with the *Tex1A* probe.

The bottom part of the figure show the position of the *pyrG* and *Tex1A* probes.

- Figure 12.** shows the autoradiograph of the Southern blot analysis of the recombination events. M represents the 1 kb DNA marker (BRL),
- Lane 1: recombinant AWC-pUR5718#S1;
  - Lane 2: recombinant AWC-pUR5718#S2;
  - Lane 3: recombinant AWC-pUR5718#1;
  - 10 Lane 4: non-transformed wild-type *A. awamori* strain;
  - Lane 5: *A. awamori* mutant *pyrG* strain AWCSCSCE;
  - Lane 6: recombinant AWC-pUR5725#1;
  - Lane 7: recombinant AWC-pUR5725#2;
  - Lane 8: recombinant AWC-pUR5722#A1;
  - 15 Lane 9: recombinant AWC-pUR5722#A2;
  - Lane 10: recombinant AWC-pUR5722#B1;
  - Lane 11: recombinant AWC-pUR5722#B2;
  - Lane 12: recombinant AWC-pUR5722#B3.
- A. The genomic DNA is digested with *Sal*I and probed with the *pyrG* probe (see Figure 11).
- 20 B. The genomic DNA is digested with *Bgl*II and probed with the *Tex1A* probe.

#### Detailed description of the invention

- 25 The invention provides a process for site-directed integration of multiple copies of a gene in a mould, which comprises
- (i) providing a mould cell containing in its chromosomal DNA a restriction site for a rare-cutting endonuclease,
  - 30 (ii) transforming such mould cell with a piece of DNA comprising in the 5' to 3' direction in the following order
    - (a) a first DNA fragment homologous to part of the DNA upstream and in the neighbourhood of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould
    - 35

- (b) multiple copies of at least one expressible gene comprising a structural gene encoding a desired protein,
- 5 (c) a second DNA fragment homologous to part of the DNA downstream and in the neighbourhood of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould,

10 while during the transformation of the mould the presence of the rare-cutting endonuclease is provided,

- (iii) selecting or screening for a mould cell in which the multiple gene copies of said expressible gene are inserted into the chromosomal DNA of the mould.

15 For site-directed integration it is desirable to use a restriction endonuclease forming a double-strand break at the target site, that does not form breaks at other loci in the chromosome, thus a **rare-cutting endonuclease**, an example of which is the I-SceI endonuclease from *Saccharomyces cerevisiae*. The nucleotide sequence encoding this enzyme and some uses of that sequence are described in International PCT patent application WO 96/14408; Institut Pasteur, published 17 May 1996. But also other known rare-cutting endonucleases can be used in a process according to the invention, e.g. VDE also known as PI-SceI (see M. Jasin; Trends In Genetics (TIG) 12 (No. 6, June 1996) 224-228; Genetic manipulation of genomes with rare-cutting endonucleases and M. Brenneman et al.; Proc. Natl. Acad. Sci. USA 93 (1996) 3608-3612; Stimulation of intrachromosomal homologous recombination in human cells by electroporation with site-specific endonucleases) and HO Endonuclease (see M. Chiurazzi; The Plant Cell 8 (Nov. 1996) 2057-2066; Enhancement of Somatic Intrachromosomal Homologous Recombination in *Arabidopsis* by the HO Endonuclease). Moreover, if a specific mould genome is practically free from restriction sites for a more familiar restriction endonuclease, such endonuclease can be used as

well and can be considered a rare-cutting endonuclease for that specific mould genome.

The structural gene encoding the desired protein which gene forms part of the expressible gene can be homologous or  
5 heterologous to the mould.

In some cases the restriction site for the rare-cutting endonuclease occurs naturally in the chromosomal DNA of the mould. But if the mould does not contain a restriction site for such rare-cutting endonuclease, the restriction site  
10 for the rare-cutting endonuclease can be introduced at a desired locus.

The selection of the transformed mould can be carried out by using a selectable marker. Preferentially, such selectable marker is a characteristic of a naturally-  
15 occurring, wild-type mould strain, while the mould strain to be transformed is a mutant strain thereof, deficient in said selectable marker, e.g. the orotidine-5'-phosphate decarboxylase gene (*pyrG* gene) which is present in wild-type *Aspergillus awamori*. But also other loci containing  
20 auxotrophic markers including *trpC*, *argB*, and *niaD* genes can be used, whereas other possible selectable markers include genes producing an easily assayable product.

Sometimes the DNA introduced into the mould can be used as the selectable marker. For example, when the introduced DNA  
25 is expressed, it can result in a product not produced in the non-transformed mould, but which is more or less easily assayable. Or the presence or absence of the DNA can be determined by applying PCR techniques.

30 Preferably, the desired locus is within a selectable marker gene or in the neighbourhood thereof. In order to complement any disrupted or (partially) deleted gene the piece of DNA used for transforming the mould cell can comprise a third DNA fragment that completes any disrupted or  
35 (partially) deleted selectable marker gene in the chromosomal DNA. This would allow direct selection of strains containing the desired targeted integration of multiple copies of the gene.

The part of the DNA **up-stream** of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould, to which the **first** DNA fragment is homologous, can be part of a selectable marker gene.

- 5 Alternatively, the part of the DNA **down-stream** of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould, to which the **second** DNA fragment is homologous, is part of a selectable marker gene. Or both the up-stream and down-stream parts can be  
10 part of the same selectable marker gene.

- If two or more restriction sites for the rare-cutting endonuclease are present, the number of integrated gene  
15 copies can be increased or several different genes can be introduced at different loci, or both.

- Preferably, the expressible gene comprises (1) a promoter operable in said mould, (2) optionally a DNA fragment encoding a secretion signal peptide facilitating the secretion of said desired protein from said mould, (3) a  
20 structural gene encoding said desired protein, and (4) optionally a terminator operable in said mould, whereby the promoter and the optional terminator control the expression of the structural gene. More preferably, the promoter, secretion signal and terminator are homologous to the mould  
25 to be transformed. In that case the amount of foreign DNA is kept to a minimum.

- During the transformation of the mould the rare-cutting endonuclease can be provided by adding the endonuclease as  
30 such in way that is similar to Restriction Mediated Integration (REMI; Kuspa and Loomis, Proc. Natl. Acad. Sci. USA 89 (1992) 8803-8807; Redman and Rodriguez, Exp. Mycol.  
18 (1994) 230-246). This is preferred when the amount of foreign DNA introduced into the mould should be as low as  
35 possible.

But for other reasons it can be convenient to form the rare-cutting endonuclease *in situ* by co-transforming the



mould with DNA encoding the rare-cutting endonuclease, which DNA can be expressed during or after the transformation of the mould. Preferably, this DNA forms part of a plasmid that does not integrate in the genome, so that after further culturing the transformed mould strain can lose the plasmid while the desired DNA is still maintained in the genome. This event can be checked by further screening to confirm the absence of the rare-cutting endonuclease-encoding DNA in the genome of the recipient strain.

Preferably the mould belongs to the fungal division of *Eumycota*, more preferably to one of the fungal subdivisions *Ascomycotina*, *Basidiomycotina*, *Deuteromycotina*, *Mastigomycotina*, and *Zygomycotina*. It is especially preferred that the mould is selected from the genus *Aspergillus*, more particularly belonging to the species *Aspergillus awamori*. The invention also provides a transformed mould obtainable by a process according to the invention for the site-directed integration of multiple copies of a gene in a mould. Once a transformed mould according to the invention has been obtained, such transformed mould can be used in a process for further culturing.

The invention also provides a process for producing and optionally secreting a desired protein by culturing a transformed mould obtainable with a process as described above under conditions whereby the structural gene encoding said desired protein is expressed, and optionally isolating or concentrating the desired protein.

One way of introducing multiple copies of a gene is introducing several copies of the complete expression cassette as described below under the heading **Construction of multi-copy vectors**.

An alternative is by introducing several copies of the structural gene (polycistronic). After production of the

encoded polypeptide it has to be cleaved to form the single peptides, e.g. by using the enzyme KEX 2.

The invention is exemplified by the following Example  
5 preceded by a description of the Materials and Methods that were used. In this Example the following is described.

**Example 1A.** Experimental design of the process for site-directed integration of multiple copies of a gene in the  
10 mould *A. awamori* using the I-SceI endonuclease.

**Example 1B.** Determination of the occurrence of a natural I-SceI restriction site in the genome of *A. awamori*.

15 **Example 1C.** Construction of the *A. awamori* mutant *pyrG* strain AWCSCE which contains an I-SceI restriction site at the locus of the mutated *pyrG* gene.

**Example 1D.** Induction of site-directed integration at the  
20 *pyrG* locus by I-SceI expression.

**Example 1E.** Southern blot analysis of recombination events.

#### MATERIALS AND METHODS

25

##### **Bacterial and mould strains**

For standard bacterial cloning the *Escherichia coli* strain DH5 $\alpha$  (genotype: F<sup>-</sup>, *endA*1, *hsdR*17 (*r*<sub>k</sub><sup>-</sup> *m*<sub>k</sub><sup>+</sup>), *supE*44, *thi*-1, *lambda*<sup>-</sup>, *recA*1, *gyrA*96, *relA*1,  $\Delta$ (*argF-lacIZYA*)U169, *deoR*  
30 (*phi80d(lacz)* $\Delta$ M15); Hanahan; J. Mol. Biol. 166 (1983) 557-580) was used. For cloning multiple copies of a gene in a cosmid vector via packaging the *Escherichia coli* strain 1046 (Cami, B. and Kourilsky, P.; Nucleic Acids Research 5 (1978) 2381) was used.

35

The mould strain *Aspergillus awamori* #40 (a derivative of *A. awamori* CBS 115.52 also mentioned in WO 93/12237, page 9 line 13) was used to construct a *pyrG* derivative strain,

designated AWCSCE, containing an I-SceI restriction site at the *pyrG* locus.

The preparation of *A. awamori* #40 (also known as *A. niger* var. *awamori* #40) was described in WO 91/19782 on page 13, lines 29-39, which read:

" The production level of the *A. niger* var. *awamori* transformants, however, can be further increased by using suitable *A. niger* var. *awamori* mutant strains, such as *A. niger* var. *awamori* #40, which produces clearly more xylanase than the wild type strain.

10 The mutant *A. niger* var. *awamori* #40 has been obtained by mutagenesis of *A. niger* var. *awamori* spores and selection for xylanase production. In bran medium the "*xylA*" *A. niger* var. *awamori* #40 transformant produced 190 000 U xylanase, which is a considerable increase over the best producing *A. niger* var. *awamori* transformant. "

15

In this specification the following endonuclease restriction sites are used:

giving staggered ends

giving blunt ends

	<i>Afl</i> III	C↓TTAAG		<i>Sma</i> I	CCC↓GGG
20	<i>Bam</i> HI	G↓GATCC			
	<i>Bgl</i> III	A↓GATCT			
	<i>Eco</i> RI	G↓AATTC			
	<i>Hind</i> III	A↓AGCTT			
	<i>Hin</i> FI	G↓ANTC			
25	<i>Nde</i> I	CA↓TATG			
	<i>Not</i> I	GC↓GGCCGC			
	<i>Pst</i> I	CTGCA↓G			
	<i>Sac</i> I	GAGCT↓C			
	<i>Sal</i> I	G↓TCGAC			
30	<i>Sau</i> 3AI	↓GATC			
	<i>Sca</i> I	AGT↓ACT			

and the rare-cutting restriction endonuclease from *Saccharomyces cerevisiae* I-SceI 18 bp:

5'-TAGGGATAACAGGGTAAT-3'

(see SEQ ID NO: 1)

35

**Plasmid constructions**

Standard recombinant DNA techniques were used for cloning (Sambrook et al.; Molecular cloning - A laboratory manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, New York 5 (1989)). In all cloning steps involving synthetic DNA linkers or PCR fragments, the correct DNA sequence of the linkers or PCR fragments was verified by DNA sequence analysis, using a Pharmacia LKB, ALF fluorescent sequencer.

**10 Construction of the target site:**

The plasmid pUR5710 (see Figure 1) was constructed by cloning a 2.0 kb *Bam*HI/*Sal*I fragment containing a 5' part of the *pyrG* gene, which is present on the plasmid pAW4.1 (Gouka et al.; Curr. Genet. 27 (1995) 536-540), into the 15 general cloning vector pIC20R (Marsh et al.; Gene 32 (1984) 481-485) digested with *Bam*HI and *Sal*I. Subsequently, a synthetic DNA linker containing the 18 bp recognition site for the I-*Sce*I endonuclease (5'-TAGGGATAACAGGGTAAT-3'; see SEQ ID NO: 1) flanked by 20 *Sal*I and *Hind*III sites was cloned into the plasmid pUR5710 digested with *Sal*I and *Hind*III. This resulted in the plasmid pUR5711 (see Figure 1). The plasmid pUR5712 (see Figure 1) was constructed by cloning a 2.0 kb *Hind*III fragment containing sequences downstream of the *pyrG* coding 25 region, which is present on the plasmid pAW4.4 (Gouka et al.; Curr. Genet. 27 (1995) 536-540), into the plasmid pUR5711 digested with *Hind*III. The orientation of this *Hind*III fragment compared to the coding region of the *pyrG* gene is identical to the wild-type situation. The plasmid 30 pUR5712 was used to construct the *A. awamori* mutant *pyrG* strain AWCSCE.

**Construction of the repair construct:**

For the construction of the plasmid pUR5713 (see Figure 2A) 35 plasmid pAW4.4 was digested with *Hind*III, the *Hind*III site was filled in with Klenow and the fragment was subsequently digested with *Bam*HI. The resulting 1.6 kb fragment, containing sequences down-stream of the *pyrG* coding region,

was isolated. Furthermore, the plasmid pAW4.20 (Gouka et al.; Curr. Genet. 27 (1995) 536-540) was digested with *Bam*HI and *Hind*III and the 0.4 kb fragment, containing sequences present immediately upstream of the 1.6 kb fragment described above, was isolated. The 0.4 kb *Hind*III/*Bam*HI and 1.6 kb *Bam*HI/filled in *Hind*III fragments were simultaneously cloned into the general cloning vector pBluescript<sup>R</sup> SK (Stratagene) digested with *Hind*III and *Sma*I. This resulted in the plasmid pUR5713. The plasmid pUR5714 (see Figure 2B) was constructed by cloning a 1.0 kb *Bgl*II/*Hind*III fragment containing a 3' part of the *pyrG* gene, which is present on the vector pAW4.1, into the general cloning vector pBluescript<sup>R</sup> SK digested with *Bam*HI and *Hind*III. The cosmid pUR5716 (see Figure 3) is derived from the cosmid vector pJB8 (Ish-Horowicz, D. and Burke, J.F.; Nucleic Acids Res. 9 (1981) 2989) by replacing the *Eco*RI/*Hind*III polylinker fragment by a synthetic linker containing an *Eco*RI and a *Not*I restriction site having the following sequence:

(5'-AATTC AT GCGGCCGC T-3'

3'-G TA CGCCGGCG ATCGA-5'

see SEQ ID NO: 2).

In this cloning step, the *Hind*III site is lost. The cosmid pUR5718 (see Figure 3) was constructed by simultaneously cloning the 1.0 kb *Not*I/*Hind*III fragment from the plasmid pUR5714 and the 2.0 kb *Hind*III/*Not*I fragment from the plasmid pUR5713 into the plasmid pUR5716 digested with *Not*I. Thereby, this vector carries a sequence homologous to both sides of the *I-Sce*I site at the *pyrG* target locus in the *A. awamori* mutant *pyrG* strain AWCSCE.

#### Construction of multi-copy vectors:

The plasmid pUR5729 (see Figure 4) was constructed by cloning the 1.5 kb *Pst*I/*Sac*I fragment containing the open reading frame (ORF) of the cutinase gene from *Fusarium solani pisi* (synthetic copy of the cDNA; Van Gemeren et al.; Journal of Biotechnology 40 (1995) 155-162) under control of the promoter and terminator of the *exlA* gene from *Aspergillus awamori* (Gouka et al.; Applied

Microbiology and Biotechnology 46, (1996) 28-35), from the plasmid pUR7385 (Van Gemeren et al.; Applied Microbiology and Biotechnology 45, (1996) 755-763), into the general cloning vector pIC19H (Marsh et al.; Gene 32 (1984) 481-485) digested with *Pst*I and *Sac*I. Based on the cosmid pUR5718 two new cosmids were constructed containing multiple copies of the cutinase gene under control of the *exlA* expression signals (as described above). A single copy of this expression cassette was isolated as a 1.5 kb *Hind*III fragment from the plasmid pUR5729 and ligated into the cosmid pUR5718 digested with *Hind*III. After transforming the ligation mixture into the *E. coli* strain DH5 $\alpha$ , the cosmid pUR5722 (see Figure 5) was obtained which contained a tandem array of four copies of the expression cassette. After packaging of the ligation mix using the  $\lambda$ -DNA in vitro packaging module (Amersham; code RPN1717), the packaging mix was transformed into *E. coli* strain 1046 (both according to the protocol provided with the module). From this transformation the cosmid pUR5725 (see Figure 5) was obtained which contained a tandem array of nine copies of the expression cassette.

#### Construction of the I-SceI expression vector:

The plasmid pUR5736 (see Figure 6) was constructed by replacing a *Sca*I/*Bam*HI fragment containing a part of the promoter from the *A. nidulans gpd* gene fused to the coding region of the *E. coli hph* gene, which is present on the plasmid pAN7.1 (Punt et al.; Gene 56 (1987) 117-124), by a PCR fragment containing the same promoter fragment fused to a *Nde*I and *Bam*HI restriction site, digested with *Sca*I and *Bam*HI. To obtain the vector fragment from the plasmid pAN7.1 the plasmid was only partially digested with *Sca*I, because the pUC backbone of the plasmid contains another *Sca*I site. The PCR fragment was obtained in a PCR reaction on the plasmid pAN7.1 using the primers MGgpd1 (5'-GACAAGGTCG-TTGGCTCAGTC-3'; see SEQ ID NO: 3) and MGgpd2 (5'-CGGGATCCTT-CCATATGTGATGTCTGCTCAAGCGG-3'; see SEQ ID NO: 4). Subsequently, a *Bgl*II/*Hind*III fragment from the plasmid

pUR5736 containing the promoter from the *A. nidulans* *gpd* gene and the terminator sequences from the *A. nidulans* *trpC* gene, was cloned into the *Bam*HI/*Hind*III sites of the general cloning vector pBluescript<sup>R</sup> SK. This resulted in the plasmid pUR5737. Hereafter, pUR5737 was digested with *Bam*HI, this site was filled in using the Klenow enzyme, and a second digestion with *Nde*I was performed. The plasmid pSCM525 (kindly provided by Prof. Dr. B. Dujon, Institut Pasteur, Paris and described in United State Patent no; 5,474,896; filed Nov.5, 1992), containing a synthetic gene encoding the I-*Sce*I endonuclease, was digested with *Sal*I, this site was filled in using the Klenow enzyme, and a second digestion with *Nde*I was performed. The resulting fragment was cloned into the plasmid pUR5737 (*Nde*I/filled in *Bam*HI fragment as described above) which resulted in the I-*Sce*I expression vector pUR5724 (see Figure 7).

#### Transformation experiments

##### Preparation of protoplasts:

- Conidia were obtained by growing the *A. awamori* strains at 30°C on a nitrocellulose filter (Hybond-N, Amersham) placed on a PDA (Potato Dextrose Agar) plate for several days and subsequently washing the filters with physiological salt solution.
- Protoplasts of *A. awamori* were prepared as described by Punt and Van den Hondel (Methods in Enzymology 216 (1993) 447-457). A shake flask containing 200 ml of MM medium (0.4 ml 1 M  $\text{MgSO}_4$ , 2 ml 100 x spore elements (per litre; 60 g  $\text{EDTA} \cdot 2\text{H}_2\text{O}$ , 11 g  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ , 7.5 g  $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$ , 2.8 g  $\text{MnSO}_4 \cdot \text{H}_2\text{O}$ , 2.7 g  $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$ , 0.8 g  $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ , 0.9 g  $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$ , 0.5 g  $\text{Na}_2\text{MoO}_4 \cdot 2\text{H}_2\text{O}$ , 0.8 g  $\text{H}_3\text{BO}_3$ , 0.5 g KI, pH 4.0 with NaOH), 10 ml 20% glucose, 4 ml 50 x AspA (3.5 M  $\text{NaNO}_3$ , 0.35 M KCl, 0.55 M  $\text{KH}_2\text{PO}_4$ , pH 6.5 with KOH)) including 0.5% yeast extract was inoculated with  $10^6$  conidia/ml of *A. awamori* and incubated for 18 hours at 30°C in a shaker at 200 rpm. Mycelium was harvested through sterile Mirocloth<sup>R</sup> and washed with ice-cold 0.6 M  $\text{MgSO}_4$ . The mycelium was resuspended in OM medium (per litre: 500 ml 2.4 M  $\text{MgSO}_4$ , 480 ml  $\text{H}_2\text{O}$ , 16.8 ml 0.5 M

- $\text{Na}_2\text{HPO}_4$ , 3.2 ml 0.5 M  $\text{NaH}_2\text{PO}_4$ , pH 5.8-5.9) at 5 ml/g mycelium. Subsequently, 5 mg Novozym 234<sup>R</sup> and 6 mg BSA were added per g mycelium. Protoplasting was allowed to proceed for 1-2 hours at 30°C in a shaker at 80-100 rpm. The formation of protoplasts was checked using a light microscope. Protoplasts were filtered through sterile Miracloth<sup>R</sup> and the sample was divided in 30 ml aliquots in falcon tubes. STC (1.2 M sorbitol, 10 mM Tris/HCl pH 7.5, 50 mM  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ ) was added to bring the volume up to 50 ml and the protoplasts were harvested by centrifugation at 2000 rpm for 10 minutes at 4°C. The protoplasts were washed again in 50 ml STC and resuspended in STC at a concentration of approximately  $10^8$  protoplasts/ml.
- PEG transformations:** Five to 7.5  $\mu\text{g}$  of a single plasmid or two plasmids (in case the I-SceI expression plasmid is co-transformed) was added to an aliquot of 100  $\mu\text{l}$  ( $10^7$ ) protoplasts, mixed and incubated for 25 minutes on ice. PEG was added in two 200  $\mu\text{l}$  aliquots and an 850  $\mu\text{l}$  aliquot, and the mixture was incubated at room temperature for 20 minutes. Finally, the mixture was washed with 10 ml of STC, harvested by centrifugation at 2000 rpm for 10 minutes at room temperature and the sample was plated on a MM plate for selection of transformants.
- Construction of the *A. awamori* mutant *pyrG* strain AWCSC:**  
Transformation of the wild-type *A. awamori* strain was performed with a purified (Qiaex gel extraction kit; Qiagen cat. no. 20021) EcoRI fragment obtained from the plasmid pUR5712 containing the mutant *pyrG* gene with the I-SceI restriction site at the site of the deletion (see figure 1 and 8). Per transformation  $2 \times 10^6$  protoplasts were transformed with 10  $\mu\text{g}$  of DNA. Since *pyrG* strains are resistant to 5-FOA (5-fluoro-orotic acid; Boeke et al. Mol. Gen. Genet. 197 (1984) 345-346), *pyrG* transformants can be selected directly from wild-type strains. Transformants were selected on MM plates (AspA is replaced by AspA-N; 50 x AspA-N = 0.35 M KCl, 0.55 M  $\text{KH}_2\text{PO}_4$ , pH 6.5 with KOH)



supplemented with 10 mM Uridine and 0.75 mg/ml of 5-FOA, with 10 mM proline as the N-source. The mutant phenotype of the transformants that were obtained was checked by growing these colonies on MM plates without uridine. Two  
5 transformants that were not able to grow without uridine were further analyzed by Southern blot analysis (Figure 9 and see below).

#### DNA isolation, PCR and Southern analysis

10 Southern analysis was performed to confirm at a molecular level that the mould cell had been transformed and the desired DNA had been integrated into the genome. To obtain mycelium material for a genomic DNA isolation, approximately  $10^8$  mould conidia were inoculated in 50 ml of  
15 *Aspergillus* minimal medium supplemented with 0.5% yeast extract and incubated for a period ranging from 22 hours to 3 days at 30 °C in a shaker at 200 rpm. The mycelium was harvested through Miracloth<sup>R</sup> (Calbiochem) and snap frozen in liquid N<sub>2</sub>. Frozen samples were ground to a fine powder using  
20 a Mikro-Dismembrator<sup>R</sup> (ex Braun Biotech International) for 1 minute at 1750 rpm. Mould genomic DNA was isolated using Qiagen genomic tips (cat. no. 10223) and a protocol for genomic DNA purification from filamentous fungi provided by the supplier. The step for digestion of cell wall material  
25 was omitted.

The PCR reactions were performed in a Perkin Elmer DNA Thermal Cycler 480 using approximately 1 µg genomic DNA, 25 pMol of each primer, 10nMol of each dNTP, 1 unit of Taq DNA polymerase (Gibco-BRL) and 10 µl of 10 x Taq DNA polymerase  
30 buffer in a total volume of 100 µl. The reactions were overlaid with mineral oil. The amplification was started with 5 min at 94°C, followed by 30 cycles of 1 min 94°C, 1 min 55°C and 1 min 72°C. After the final cycle the elongation step was followed by another 5 min at 72°C. The  
35 sequence of the primers that were used are:

MGPyr1: 5'-GCCAGTACACTACTTCTTCG-3' (see SEQ ID NO: 5)  
MGPyr2: 5'-AGGAGATCGCGAGAAGGTTG-3' (see SEQ ID NO: 6)

For the Southern blot, approximately 2.5  $\mu$ g of DNA was digested with (a) restriction endonuclease(s) at 4 Units/ $\mu$ g for 16 hours. The following restriction endonucleases were used; *Sau3AI*, *BglIII*, *I-SceI* and *SalI*. The DNA was  
5 separated on a 0.8% agarose TBE gel and transferred to a Hybond N membrane by capillary blotting (overnight). The membrane was (pre-)hybridized according to the Hybond protocol.

For the Southern blot presented in Figure 9 the chromosomal  
10 DNA (7.5  $\mu$ g) was digested with *Sau3AI*. The blot was probed with an 18 bp end-labelled oligonucleotide representing the

*I-SceI* restriction site. This oligonucleotide was end-labelled using T4-polynucleotide kinase and  $\gamma$ - $^{32}$ P-ATP.  
15 Hybridization was carried out for 4 hours at 42 °C. The filter was washed for 5 minutes with 2 x SSC at 42 °C followed by another 5 minutes with 2 x SSC, 0.1% SDS at 42 °C.

For the Southern blot presented in Figure 10 the  
20 chromosomal DNA was digested with *BglIII* or *BglIII* and *I-SceI*. The 2.4 kb *BamHI* x *HindIII* *pyrG* fragment from pAW4.1 was used as a probe. A DNA probe labelled with  $\alpha$ - $^{32}$ P-dCTP was obtained using the RTS RadPrime DNA Labelling System from GibcoBRL (cat. no. 10387-017). The electronic autoradio-  
25 graphs were obtained using an Instant Imager (Packard).

#### **Example 1A. Experimental setup.**

The experimental design of the process for site-directed integration of multiple copies of a gene in the mould *A. awamori* using the *I-SceI* endonuclease is shown in figure 8.  
30 The system is based on three components, a fungal strain containing the target sequence with a *I-SceI* restriction site, a repair construct that is carrying sequences homologous to the target locus together with multiple  
35 copies of (a) gene(s) encoding (a) desired protein(s), and a plasmid containing an expression cassette with the gene encoding the *I-SceI* endonuclease. In order to specifically detect integration by homologous recombination we used the

endogenous *pyrG* gene as a selectable marker gene (Gouka et al. Curr. Genet. 27 (1995) 536-540). First, we constructed a plasmid containing a defective *pyrG* gene in which a 0.8 kb region, encompassing the 3' end of the coding region and flanking terminator sequences, was replaced by the I-SceI restriction site (pUR5712). Using this plasmid the *A. awamori* mutant *pyrG* strain AWCSCE was constructed by a selection strategy for gene-replacement in fungi (see Materials and Methods). Second, the repair construct contains a complementary defective *pyrG* gene, in which 0.14 kb of the 5' end of the coding region is deleted, that has sequences homologous to both sides of the I-SceI site at the *pyrG* target locus in the *A. awamori* mutant *pyrG* strain AWCSCE. This repair construct contains an unique *HindIII* restriction site that can be used for inserting multiple copies of (a) gene(s) encoding (a) desired protein(s). The complete insert of the repair construct is flanked by *NotI* sites, which makes it possible to remove the vector sequences and transform *A. awamori* with only the insert fragment. Third, the expression cassette of the I-SceI gene consists of the promoter from the efficiently expressed glyceraldehyde-3-phosphate dehydrogenase encoding gene from *Aspergillus nidulans* (*gpdA*) (Punt et al. Gene 56 (1987) 117-124), an artificial I-SceI ORF (United States Patent no; 5,474,896; filed Nov.5, 1992) and the transcription termination region of the *A. nidulans trpC* gene (Mullaney et al. Mol. Gen. Genet. 199 (1985) 37-45). When the expression plasmid and the repair construct are co-introduced into protoplasts of the strain AWCSCE, transient expression of the I-SceI gene may lead to the introduction of double-strand breaks at the I-SceI site, thereby stimulating homologous recombination with the repair construct and integration of the multiple gene copies. Alternatively, the I-SceI endonuclease may be introduced directly into the cell in a way that is similar to Restriction Mediated Integration (REMI; Kuspa and Loomis, Proc. Natl. Acad. Sci. USA 89 (1992) 8803-8807; Redman and Rodriguez, Exp. Mycol. 18 (1994) 230-246;

Brenneman et al. Proc. Natl. Acad. Sci. USA 93 (1996) 3608-3612). Because homologous recombination will restore an intact *pyrG* gene, these events can be selected directly by growing the transformed cells on MM plates without uridine.

5

**Example 1B. Determination of the occurrence of a natural I-SceI restriction site in the genome of *A. awamori*.**

Before the system of this invention was set-up, we  
10 determined whether naturally occurring I-SceI restriction sites are present in the genome of *A. awamori*. The I-SceI endonuclease has an 18 bp recognition site, which will statistically occur only once in  $6.9 \times 10^{10}$  bp ( $4^{18}$ ) or approximately once in every 18.500 *A. awamori* genomes.  
15 Therefore it seems unlikely that an I-SceI site will be present in the genome.

In order to determine the presence of a naturally occurring I-SceI site, a Southern blot was performed with *A. awamori* genomic DNA. The genomic DNA was digested with *Sau3AI*,  
20 which does not cut within the I-SceI restriction site, to create smaller fragments that are more suitable for Southern blotting and hybridization with a labelled oligonucleotide. Plasmid reconstructions with the plasmids *PUR5712* and *pSCM522* (control DNA substrate containing the  
25 I-SceI restriction site, supplied with the I-SceI endonuclease from Boehringer Mannheim, cat. no. 1497235), both containing a single I-SceI restriction site, representing a single copy site or 20 or 200 sites per genome were included as controls. The blot was probed with  
30 an 18 bp end-labelled oligonucleotide representing the I-SceI restriction site. For the autoradiograph see Figure 9. Whereas the single copy reconstructions with the control plasmids show a clear hybridizing fragment (lanes 3 and 6), no hybridizing fragments are present in the lanes 4 and 5  
35 containing the chromosomal *A. awamori* DNA. This result demonstrates that the genome of *A. awamori* does not contain a natural I-SceI restriction site. Thus, it is possible to specifically engineer an unique I-SceI site into the genome

at a locus of choice and subsequently introduce an unique double-strand break in the genomic DNA at that locus by expressing the I-SceI gene in the cell or introducing the I-SceI endonuclease itself.

5

**Example 1C. Construction of the *A. awamori* mutant *pyrG* strain AWCSCE which contains an I-SceI restriction site at the locus of the mutated *pyrG* gene.**

The *A. awamori* mutant *pyrG* strain AWCSCE is obtained by replacing the chromosomal wild-type *pyrG* gene with the mutant *pyrG* gene from pUR5712, which contains the I-SceI restriction site at the site of the deletion. Therefore, the *A. awamori* strain was transformed with a purified EcoRI fragment containing the insert from the plasmid pUR5712.

Per transformation  $2 \times 10^6$  protoplasts were transformed with 10  $\mu$ g of DNA. In seven transformations a total of 11 5-FOA<sup>R</sup> colonies were obtained. All these transformants were not able to grow on MM plates without uridine, corresponding with a *pyrG* phenotype. Genomic DNA was isolated from these strains and a PCR was performed with the primers MGPyr1 and MGPyr2. These primers anneal within the regions flanking the deletion and will generate a 1.13 kb fragment when the wild-type *pyrG* gene is present and a 0.36 kb fragment when the mutant *pyrG* gene is present. Two out of the 11 5-FOA<sup>R</sup> colonies contained the 0.36 kb fragment specific for the mutant *pyrG* gene and were further analyzed by Southern blotting. The remaining 9 5-FOA<sup>R</sup> colonies are likely to be the result of spontaneous mutations. DNA was digested with BglII and I-SceI and the blot was probed with a 2.4 kb BamHI x HindIII fragment from pAW4.1 containing the *A. awamori pyrG* gene (Figure 10). In the DNA of both mutant strains and the wild-type strain a 9.0 kb BglII fragment was present. The other 2.7 kb BglII fragment, as present in the wild-type strain (lane 3), is absent in the mutant strains and replaced by a 0.5 kb BglII x I-SceI fragment characteristic for the mutant *pyrG* gene carrying the I-SceI restriction site at the site of the deletion. This result indicates that these mutants

originate from a replacement of the wild-type *pyrG* gene by the mutated *pyrG* gene from pUR5712. Because the mutant strain from lane 1 contains an additional unexplained hybridizing fragment of approximately 2.0 kb, the mutant strain from lane 2 was chosen for further experiments and designated AWCSCE.

**Example 1D. Induction of site-directed integration at the *pyrG* locus by I-SceI expression.**

- 10 Protoplasts derived from the *A. awamori* mutant *pyrG* strain AWCSCE were transformed with a repair construct in the presence or absence of the I-SceI expression vector pUR5724. The repair constructs consisted of a mutant complementing *pyrG* gene (pUR5718), and derivatives thereof
- 15 containing a repeat of 4 copies (pUR5722) or 9 copies (pUR5725) of a cutinase expression cassette. Prior to transformation, DNA from the repair constructs was digested with NotI. This released the insert from the vector sequences, thereby creating ends that are homologous to the
- 20 target locus. The results of the transformation experiments are shown in table 1. The transformation frequencies were calculated from parallel transformations with the positive control construct pAW4.2, containing the wild-type *pyrG* gene.
- 25 Transformation of pUR5718 without the I-SceI expression vector pUR5724 yielded 16 recombinants corresponding to a gene targeting frequency of 10.6%, whereas including the I-SceI expression vector yielded 63 recombinants corresponding to a gene targeting frequency of 41.7%. These
- 30 results indicate that gene targeting with the repair construct pUR5718 is stimulated approximately four-fold by the introduction of a double strand break at the *pyrG* locus in the strain AWCSCE.
- Transformation of pUR5722, containing the repeat of 4
- 35 copies of the cutinase expression cassette, without the I-SceI expression vector pUR5724 yielded no recombinants. This means that the gene targeting frequency is lower than 3.6%. In contrast, transformation of pUR5722 with the

I-SceI expression vector pUR5724 yielded 5 recombinants corresponding to a gene targeting frequency of 18%. These results indicate that gene targeting of a repair construct containing a tandem array of 4 copies of the cutinase gene is about 2 to 3 fold less efficient than that of the repair construct pUR5718 which only contains *pyrG* sequences. Moreover, these results indicate that gene targeting with the repair construct pUR5722 is stimulated at least five-fold by the introduction of a double strand break at the *pyrG* locus in the strain AWCSCE.

Transformation of pUR5725, containing the repeat of 9 copies of the cutinase expression cassette, without the I-SceI expression vector pUR5724 yielded also no recombinants. This means that in this case the gene targeting frequency is lower than 0.6%. In contrast, transformation of pUR5725 with the I-SceI expression vector pUR5724 yielded 2 recombinants corresponding to a gene targeting frequency of only 0.4%. These results indicate that gene targeting of a repair construct containing a tandem array of 9 copies of the cutinase gene is about 20 to 100 fold less efficient than that of the repair construct pUR5718 which only contains *pyrG* sequences.

In conclusion, these results demonstrated that gene targeting with the repair construct containing multiple gene copies of a heterologous gene was only possible when a double strand break was introduced at the *pyrG* locus in the strain AWCSCE. Gene targeting of a repair DNA becomes much more inefficient when an increasing number of gene copies are included with the repair construct. This further confirms the problem of site-directed integration of multiple gene copies as discussed in the section background of the invention and prior art.

#### 35 Example 1E. Southern blot analysis of recombination events

The wild-type *pyrG* phenotype of several recombinants was confirmed by streaking the conidia on MM plates. This was done for 9 and 10 recombinants obtained from

transformations with pUR5718 in the absence or presence of the I-SceI, respectively, and all the recombinants obtained from transformations with pUR5722 and pUR5725. From ten of these transformants, conidia from individual colonies were streaked again on MM plates. Subsequently conidia were isolated and cultures were grown to obtain mycelium for genomic DNA isolation. DNA isolation and Southern analysis is described in Materials and Methods. The genomic DNA was digested with BglIII or SalI. The Southern blots were probed with either the 2.4 kb BamHI x HindIII *pyrG* fragment from pAW4.1, the 0.46 kb AflIII x SacI fragment encompassing the terminator region from the endoxylanase gene (pUR5729), the 0.72 kb BamHI x SalI fragment encompassing the I-SceI gene or the pJB8 vector. The experimental setup of the Southern analysis is shown in Figure 11 and the autoradiographs of the Southern blots hybridized with the *pyrG* and *Tex1A* probes are depicted in Figure 12A and B, respectively. In the Southern blot of wild-type *A. awamori* genomic DNA digested with SalI and probed with the 2.4 kb *pyrG* fragment a 3.3 kb and 3.8 kb fragment are present (Figure 12A, lane 4). In the mutant strain AWCSCE the 3.8 kb fragment is replaced by a 3 kb fragment (Figure 12A, lane 5). In recombinants obtained with the plasmid pUR5718 restoration of an intact *pyrG* gene will lead to a replacement of the 3 kb fragment with a 3.7 kb fragment (Figure 12A, lane 1-3). The small difference in size of the latter fragment compared to the same fragment in the wild-type strain is caused by a small 0.15 kb marker deletion in the 3' flanking sequence of the *pyrG* gene in the repair construct pUR5718. Because there is no SalI site present within the cutinase expression cassette, site-directed integration of multiple cutinase gene copies in recombinants obtained with the plasmids pUR5722 (four cutinase gene copies) and pUR5725 (nine cutinase gene copies) is expected to lead to a replacement of the 3 kb fragment with a 9.6 kb or a 17.1 kb fragment, respectively. This replacement is observed for one pUR5725 recombinant (lane 7) and one pUR5722 recombinant (lane 10), which demonstrates the successful



one step site-directed integration of multiple gene copies in *A. awamori*. Unexpectedly, in the other recombinants one or more copies of the cutinase gene have been lost during recombination. The remaining pUR5722 recombinants contain  
5 two copies of the cutinase gene (Figure 12A, lane 9, 11 and 12) or only one copy (Figure 12A, lane 8). The other pUR5725 recombinant (Figure 12A, lane 6) contains three copies of the cutinase gene.

Figure 12B depicts the Southern blot of genomic DNA  
10 digested with *Bgl*III and probed with the *Tex*1A probe. This probe hybridizes with the endogenous *ex*1A gene and the introduced multiple copies of the cutinase expression cassette. Thus the wild-type strain, the mutant AWCSCE strain and the recombinants obtained with pUR5718 contain  
15 only a 6 kb *Bgl*III fragment representing the endogenous *ex*1A gene (lanes 1-5). Because the cutinase expression cassette contains one *Bgl*III site, this digestion will generate a 1.5 kb fragment in the recombinants containing multiple copies of the cutinase gene. The intensity of this repeat fragment  
20 relative to the endogenous *ex*1A fragment is an indication for the number of cutinase gene copies that are present. The recombinants obtained with pUR5725 (lane 6 and 7) contain the additional 1.5 kb fragment. The intensities of the bands correspond well to the presence of three (lane 6)  
25 or nine copies (lane 7) of the cutinase gene as was also determined by the total size of the fragments (Figure 12A). The same is true for the recombinants obtained with pUR5722. Due to the orientation of the cutinase gene copies relative to the *pyr*G gene (see figure 5) the *Bgl*III  
30 digestion will generate the 1.5 kb repeat fragment and a 2 kb border fragment. The recombinant in lane 8 contains only the repeat fragment, indicating the presence of one copy of the cutinase gene. The recombinants in lanes 9, 11 and 12 contain a repeat fragment that has the same intensity as  
35 the border fragment, which confirms the presence of two copies of the cutinase gene. The recombinant is lane 10 contains a repeat fragment that is about three times more

intense than the border fragment which confirms the presence of four copies of the cutinase gene.

In order to determine if other DNA, such as cosmid vector sequences, or the plasmid containing the I-SceI expression cassette had co-integrated into the genome in the recombinant lines, the Southern blots have also been probed with the 0.72 kb *Bam*HI x *Sal*I fragment encompassing the I-SceI gene (*Bgl*II digested DNA) or the pJB8 vector (*Sal*I digested DNA). These blots demonstrated that in none of the recombinants, except for the pUR5725 recombinant containing nine copies of the cutinase gene, other DNA had been integrated (results not shown). This demonstrates that it is possible to construct "food-grade" strains that contain multiple copies of a gene without the presence of other foreign DNA. It should be noted that in the experiments described here the inserts of pUR5722 and pUR5725 had not been purified from the vector DNA prior to transformation, whereas this is possible. Moreover, it may be possible to omit the use of the I-SceI expression vector by transforming the endonuclease directly. These modifications will further improve the selection of strains that do not contain other foreign DNA.

This Example shows that advantages of this process for site-directed integration of multiple copies of a gene in a mould are:

- multiple gene copies can be introduced at a predetermined locus in the genome;
- the possibility to obtain site-directed integration of multiple gene copies in the genome is significantly improved by the introduction of a specific double-strand break at the chromosomal target in the mould cell; and
- the method results in a mould strain without residues of bacterial antibiotic resistance markers or other bacterial sequences like origins of replication, which the consequence that the resulting mould

strains or products derived therefrom are so-called "food-grade" products.

#### REFERENCES

- 5 - Archer et al. Antonie van Leeuwenhoek 65 (1994) 245-250
- Boeke et al. Mol. Gen. Genet. 197 (1984) 345-346
- Brenneman et al. Proc. Natl. Acad. Sci. USA 93 (1996) 3608-3612
- Cami, B. and Kourilsky, P.; Nucl. Acids Res. 5 (1978) 2381
- 10 - Choulika et al. Mol. Cell. Biol. 15 (1995) 1968-1973
- Choulika et al. International Application published under the Patent Cooperation Treaty, WO 96/14408 (1996)
- Colleaux et al. Cell 44 (1986) 521-533
- Colleaux et al. Proc. Natl. Acad. Sci. USA 85 (1988) 6022-6026
- 15 - Dujon et al., Institute Pasteur, Paris and described in United State Patent no; 5,474,896; filed Nov.5, 1992
- De Massy and Nicolas, EMBO-J 12 (1993) 1459-1466
- Gimble and Thorner, Nature 357 (1992) 301-306
- 20 - Gouka et al. Applied and Environmental Microbiology 62 (1996) 1951-1957
- Gouka et al.; Applied Microbiology and Biotechnology 46, (1996) 28-35
- Gouka et al.; Curr. Genet. 27 (1995) 536-540
- 25 - Halfter et al. Mol. Gen. Genet. 231 (1992) 186-193
- Hanahan; J. Mol. Biol. 166 (1983) 557-580
- Hamilton et al.; Proc. Natl. Acad. Sci. USA 93 (1996) 9975-9979
- Ish-Horowicz, D. and Burke, J.F.; Nucleic Acids Res 9 (1981) 2989
- 30 - Jasin, TIG 12 (1996) 224-228
- Kucherlapati, R. and G.R. Smith (1988) editors, Genetic recombination, Washington DC: American Society of Microbiology
- 35 - Kuspa and Loomis, Proc. Natl. Acad. Sci. USA 89 (1992) 8803-8807
- Lee et al. Plant Cell 2 (1990) 415-425
- Lin et al., Mol. Cell. Biol. 4 (1984) 1020-1034

- Marsh et al.; Gene 32 (1984) 481-485
- Meselson and Radding, Proc. Natl. Acad. Sci. USA 72 (1975) 358-361
- Mullaney et al. Mol. Gen. Genet. 199 (1985) 37-45
- 5 - Nicolas et al. Nature 338 (1989) 35-39
- Offringa et al. EMBO-J 9 (1990) 3077-3084
- Paszkowski et al. EMBO-J 7 (1988) 4021-4026
- Puchta et al. Nucleic Acids Research 21 (1993) 5034-5040
- Puchta et al. Proc. Natl. Acad. Sci. USA 93 (1996) 5055-  
10 5060
- Punt et al.; Gene 56 (1987) 117-124
- Punt and Van den Hondel; Methods in Enzymology 216 (1993) 447-457
- Redman and Rodriguez, Exp. Mycol. 18 (1994) 230-246
- 15 - Rouet et al. Proc. Natl. Acad. Sci. USA 91 (1994) 6064-6068
- Rouet et al. Mol. Cell. Biol. 14 (1994) 8096-8106
- Royer et al.; Bio/Technology 13 (1995) 1479-1483
- Sambrook et al.; Molecular cloning - A laboratory manual.  
20 Cold Spring Harbor Laboratory, Cold Spring Harbor, New York (1989)
- Sargent et al. Mol. Cell. Biol. 17 (1997) 267-277
- Smith et al. Nucleic Acids Research 24 (1995) 5012-5019
- Strathern et al. Cell 31 (1982) 183-192
- 25 - Sun et al. Nature 338 (1989) 87-90
- Sun et al. Cell 64 (1991) 1155-1161
- Szostak et al., Cell 33 breaks (1983) 25-35
- Thomas and Cappechi, Nature 346 (1990) 847-850
- Timberlake, "Gene Cloning and Analysis" (Chapter 3) in  
30 the book "More Gene Manipulations in Fungi" (1991) 51-85, edited by Bennett and Lasure
- Van den Hondel et al.; "Heterologous gene expression in filamentous fungi" (Chapter 18) in the book "More Gene Manipulations in Fungi" (1991) 397-428, edited by Bennett  
35 and Lasure
- Van den Hondel and Punt, "Gene transfer systems and vector development" (Chapter 1) in the book "Applied Molecular Genetics" (1991) 1-28, edited by Peberdy et al.

- Van den Hondel et al. *Antonie van Leeuwenhoek* 61 (1992) 153-160
- Van Gemeren et al.; *Journal of Biotechnology* 40 (1995) 155-162
- 5 - Van Gemeren et al.; *Applied Microbiology and Biotechnology* 45, (1996) 755-763
- Van Gemeren, "Expression and secretion of defined cutinase variants by *Aspergillus awamori*" (Chapter 5) Thesis University of Utrecht (1997) ISBN 90-393-1229-X
- 10 - Verdoes et al. *Transgenic Research* 2 (1993) 84-92
- Verdoes, "Strain improvement strategies for the overproduction of fungal proteins by filamentous fungi" (Chapter 1) Thesis Free University Amsterdam (1994)
- Verdoes et al.; *Appl. Microbiol. Biotechnol.* 43 (1995) 195-205
- 15 - Wenzlau et al. *Cell* 56 (1989) 421-430
- Zimmer and Gruss, *Nature* 338 (1989) 150-153
- Zin and Butow, *Cell* 40 (1985) 887-895

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT (except for U.S.A.):
  - (A) NAME: Unilever N.V.
  - (B) STREET: Weena 455
  - (C) CITY: Rotterdam
  - (E) COUNTRY: The Netherlands
  - (F) POSTAL CODE (ZIP): NL-3013 AL
- (i) APPLICANT (except for U.S.A.):
  - (A) NAME: Unilever PLC
  - (B) STREET: Unilever House, Blackfriars
  - (C) CITY: London
  - (E) COUNTRY: United Kingdom
  - (F) POSTAL CODE (ZIP): EC4P 4BQ (GB)
- (i) APPLICANT (for U.S.A. only):
  - (A) NAME: Marcellus Johannes Augustinus DE GROOT  
c/o Unilever Research Vlaardingen
  - (B) STREET: Olivier van Noortlaan 120
  - (C) CITY: Vlaardingen
  - (E) COUNTRY: The Netherlands
  - (F) POSTAL CODE (ZIP): NL-3133 AT
- (i) APPLICANT (for U.S.A. only):
  - (A) NAME: Alida Godelieve Maria BEIJERSBERGEN  
c/o Unilever Research Vlaardingen
  - (B) STREET: Olivier van Noortlaan 120
  - (C) CITY: Vlaardingen
  - (E) COUNTRY: The Netherlands
  - (F) POSTAL CODE (ZIP): NL-3133 AT
- (i) APPLICANT (for U.S.A. only):
  - (A) NAME: Wouter MUSTERS  
c/o Unilever Research Vlaardingen
  - (B) STREET: Olivier van Noortlaan 120
  - (C) CITY: Vlaardingen
  - (E) COUNTRY: The Netherlands
  - (F) POSTAL CODE (ZIP): NL-3133 AT

(ii) TITLE OF INVENTION: A process for site-directed integration of multiple copies of a gene in a mould

(iii) NUMBER OF SEQUENCES: 4

(iv) COMPUTER READABLE FORM:

- (A) MEDIUM TYPE: Floppy disk
- (B) COMPUTER: IBM PC compatible
- (C) OPERATING SYSTEM: PC-DOS/MS-DOS
- (D) SOFTWARE: PatentIn Release #1.0, Version #1.30 (EPO)

(v) CURRENT APPLICATION DATA:

APPLICATION NUMBER: ..... (not yet known)

(2) INFORMATION FOR SEQ ID NO: 1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 18 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

(vii) IMMEDIATE SOURCE:

(B) CLONE: restriction site for I-SceI endonuclease from *Saccharomyces cerevisiae*

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

TAGGGATAAC AGGGTAAT

18

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

## (vii) IMMEDIATE SOURCE:

(B) CLONE: synthetic linker containing *EcoRI* and *NotI* restriction sites replacing an *EcoRI/HindIII* polylinker fragment thereby destroying the *HindIII* site

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

AATTCATGCG GCCGCTAGCT

20

## (2) INFORMATION FOR SEQ ID NO: 3:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 21 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

## (vii) IMMEDIATE SOURCE:

(B) CLONE: primer MGgpd1

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

GACAAGGTCG TTGCGTCAGT C

21

## (2) INFORMATION FOR SEQ ID NO: 4:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 35 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

## (vii) IMMEDIATE SOURCE:

(B) CLONE: primer MGgpd2

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

CGGGATCCTT CCATATGTGA TGTCTGCTCA AGCGG

35



## (2) INFORMATION FOR SEQ ID NO: 5:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 20 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

## (vii) IMMEDIATE SOURCE:

(B) CLONE: primer MGPyr1

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:

GCCAGTACAC TACTTCTTCG

20

## (2) INFORMATION FOR SEQ ID NO: 6:

## (i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 20 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

## (ii) MOLECULE TYPE: other nucleic acid

(A) DESCRIPTION: /desc = "synthetic DNA"

## (vii) IMMEDIATE SOURCE:

(B) CLONE: primer MGPyr2

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

AGGAGATCGC GAGAAGGTTG

20

## C L A I M S

1. A process for site-directed integration of multiple copies of a gene in a mould, which comprises
  - (i) providing a mould cell containing in its chromosomal DNA a restriction site for a rare-cutting endonuclease,
  - (ii) transforming such mould cell with a piece of DNA comprising in the 5' to 3' direction in the following order
    - (a) a first DNA fragment homologous to part of the DNA upstream and in the neighbourhood of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould
    - (b) multiple copies of at least one expressible gene comprising a structural gene encoding a desired protein,
    - (c) a second DNA fragment homologous to part of the DNA downstream and in the neighbourhood of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould,while during the transformation of the mould the presence of the rare-cutting endonuclease is provided,
  - (iii) selecting or screening for a mould cell in which the multiple gene copies of said expressible gene are inserted into the chromosomal DNA of the mould.
2. A process according to claim 1, in which the rare-cutting endonuclease is I-SceI.
3. A process according to claim 1, in which the restriction site for the rare-cutting endonuclease has been introduced at a desired locus.

4. A process according to claim 3, in which the desired locus is within a selectable marker gene or in the neighbourhood thereof.
5. A process according to claim 4, in which the piece of DNA comprises a third DNA fragment that completes any disrupted or partially deleted selectable marker gene in the chromosomal DNA.
6. A process according to claim 4, in which the part of the DNA **up-stream** of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould, to which the **first** DNA fragment is homologous, is part of a selectable marker gene.
7. A process according to claim 4, in which the part of the DNA **down-stream** of the restriction site for the rare-cutting endonuclease present in the chromosomal DNA of the mould, to which the **second** DNA fragment is homologous, is part of a selectable marker gene.
8. A process according to claim 1, in which the restriction site for the rare-cutting endonuclease occurs naturally in the chromosomal DNA of the mould.
9. A process according to claim 1, in which two or more restriction sites for the rare-cutting endonuclease are present.
10. A process according to claim 1, in which the expressible gene comprises (1) a promoter operable in said mould, (2) optionally a DNA fragment encoding a secretion signal peptide facilitating the secretion of said desired protein from said mould, (3) a structural gene encoding said desired protein, and (4) optionally a terminator operable in said mould, whereby the promoter and the optional terminator control the expression of the structural gene.

11. A process according to claim 1, in which during the transformation of the mould the rare-cutting endonuclease is provided by adding the endonuclease as such, and/or is formed *in situ* by co-transforming the mould with DNA encoding the rare-cutting endonuclease, which DNA is to be expressed during or after the transformation of the mould.
12. A process according to claim 1, in which the mould belongs to the group of *Eumycota*, and preferably is selected from the group consisting of the fungal subdivisions *Ascomycotina*, *Basidiomycotina*, *Deuteromycotina*, *Mastigomycotina*, and *Zygomycotina*.
13. A process according to claim 12, in which the mould is selected from the genus *Aspergillus*, and preferably belongs to the species *Aspergillus awamori*.
14. A transformed mould obtainable by a process as claimed in claim 1.
15. A process for culturing a transformed mould obtained by a process as claimed in claim 1 or obtainable by such process.
16. A process for producing and optionally secreting a desired protein by carrying out a process as claimed in claim 15 under conditions whereby the structural gene encoding said desired protein is expressed, and optionally isolating or concentrating the desired protein.

Figure 1.

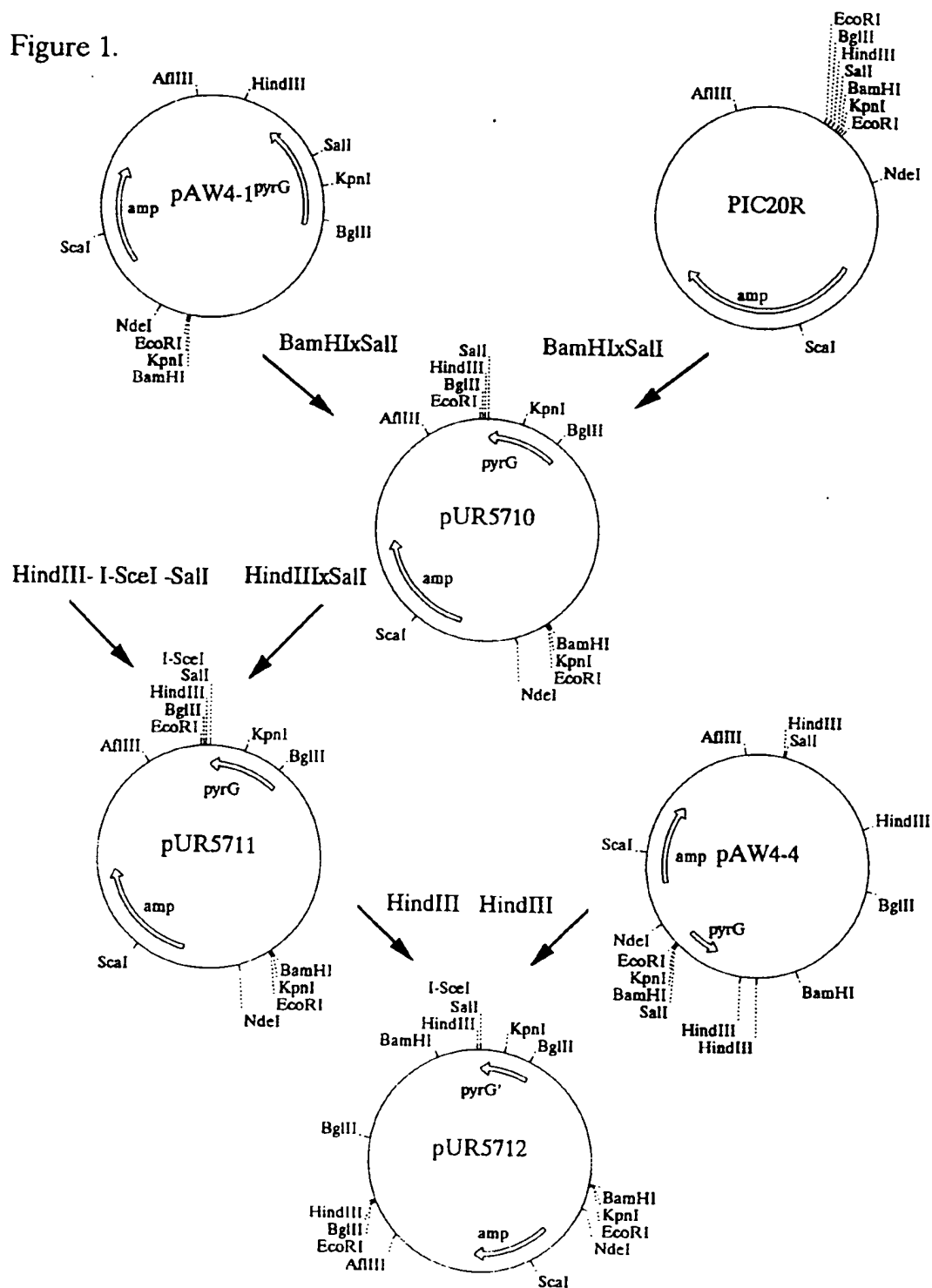
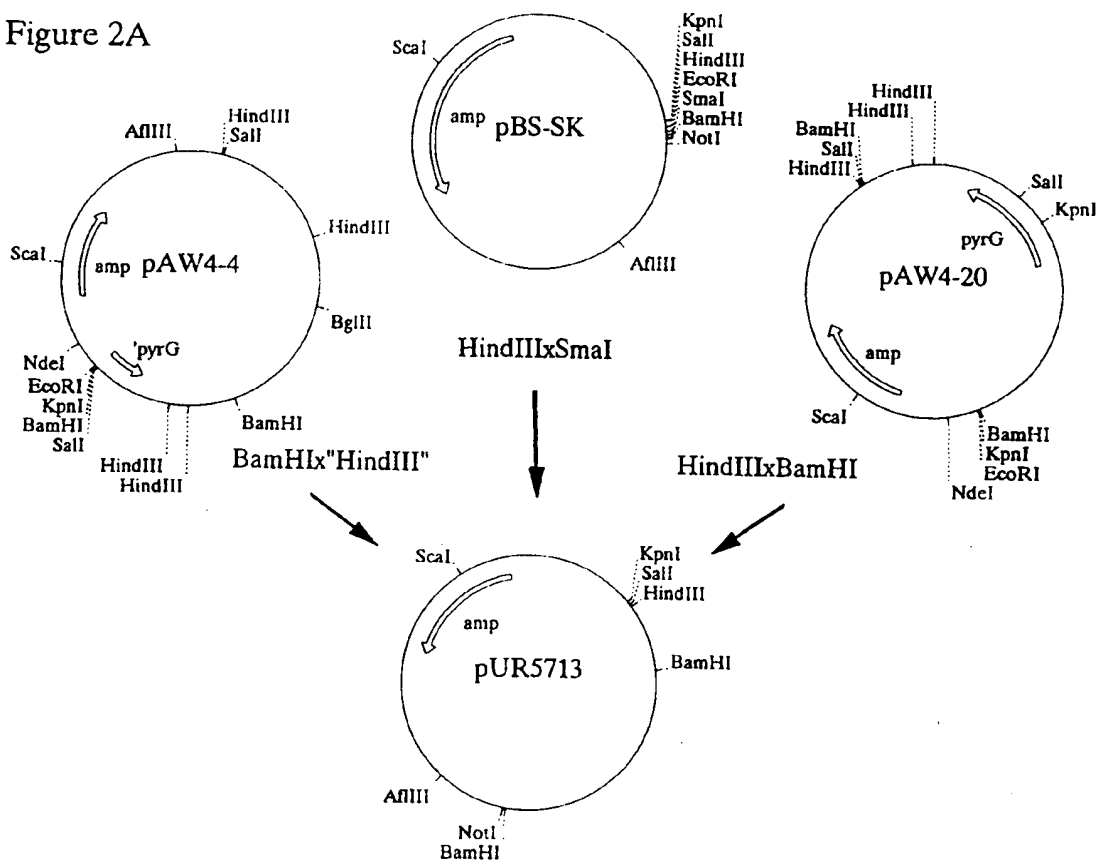


Figure 2A



2B

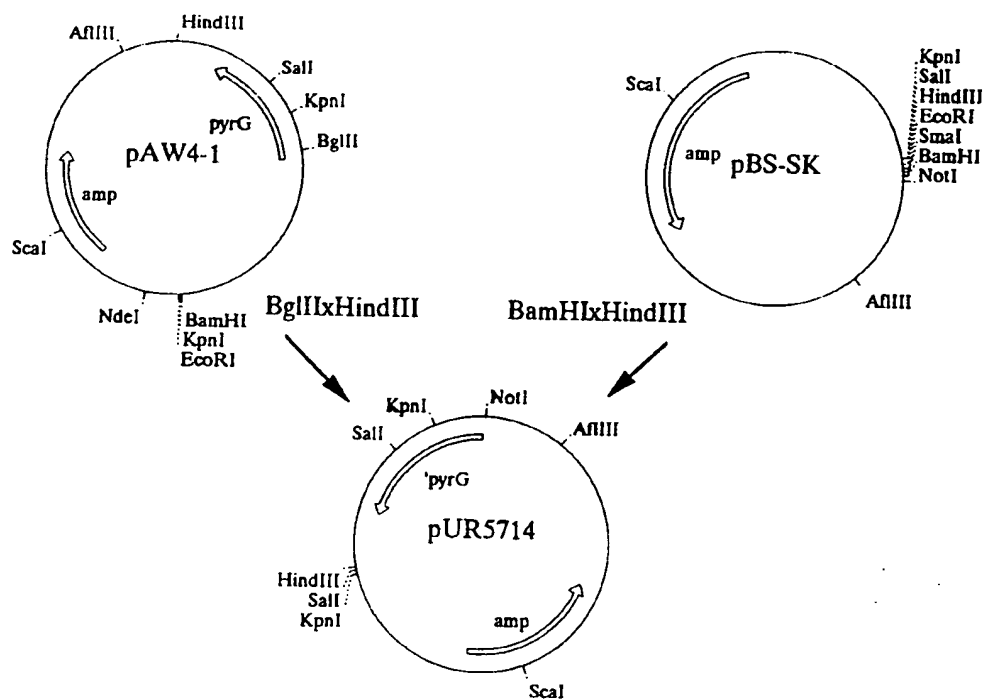


Figure 3.

EcoRI- NotI - "HindIII"

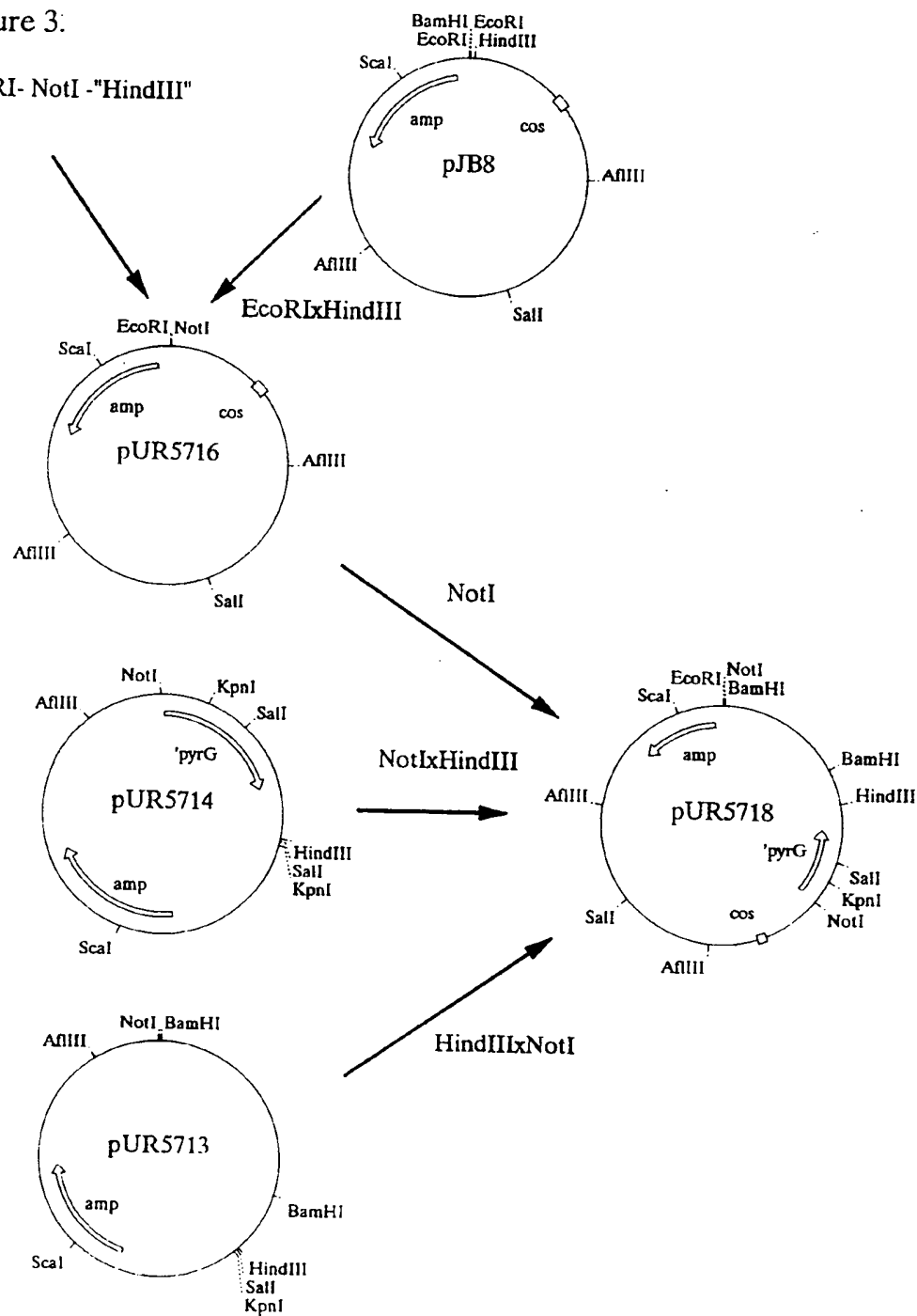




Figure 4.

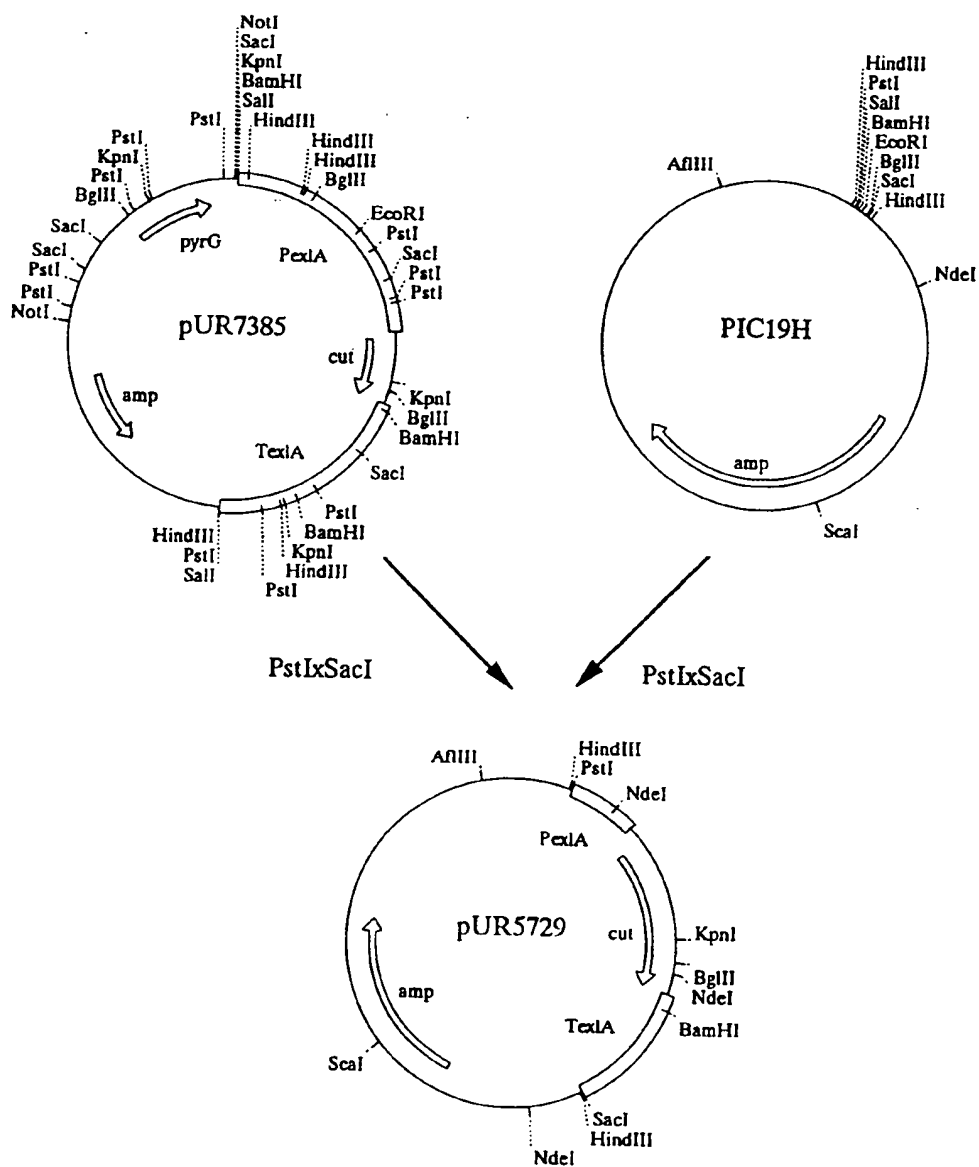


Figure 5.

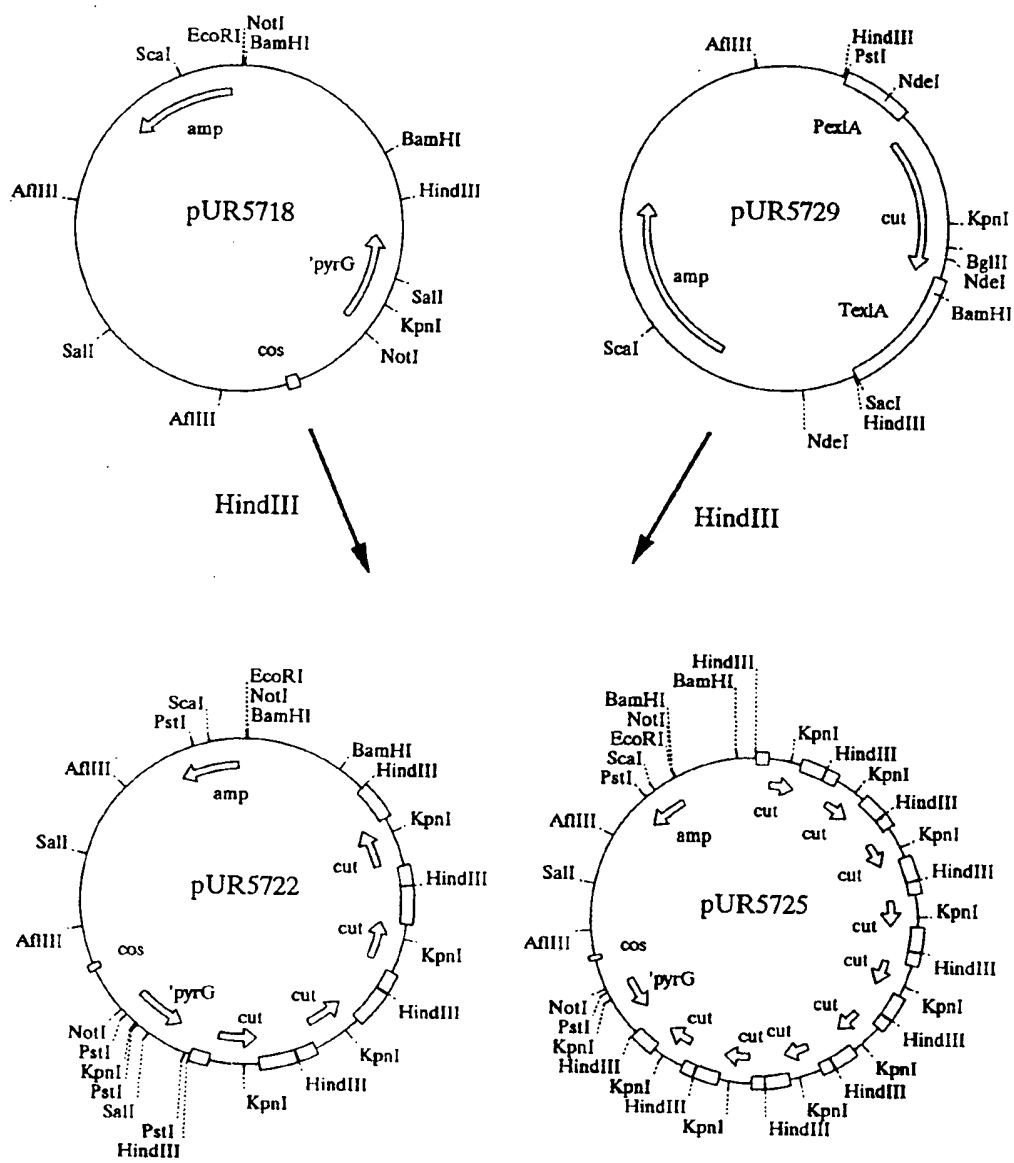


Figure 6.

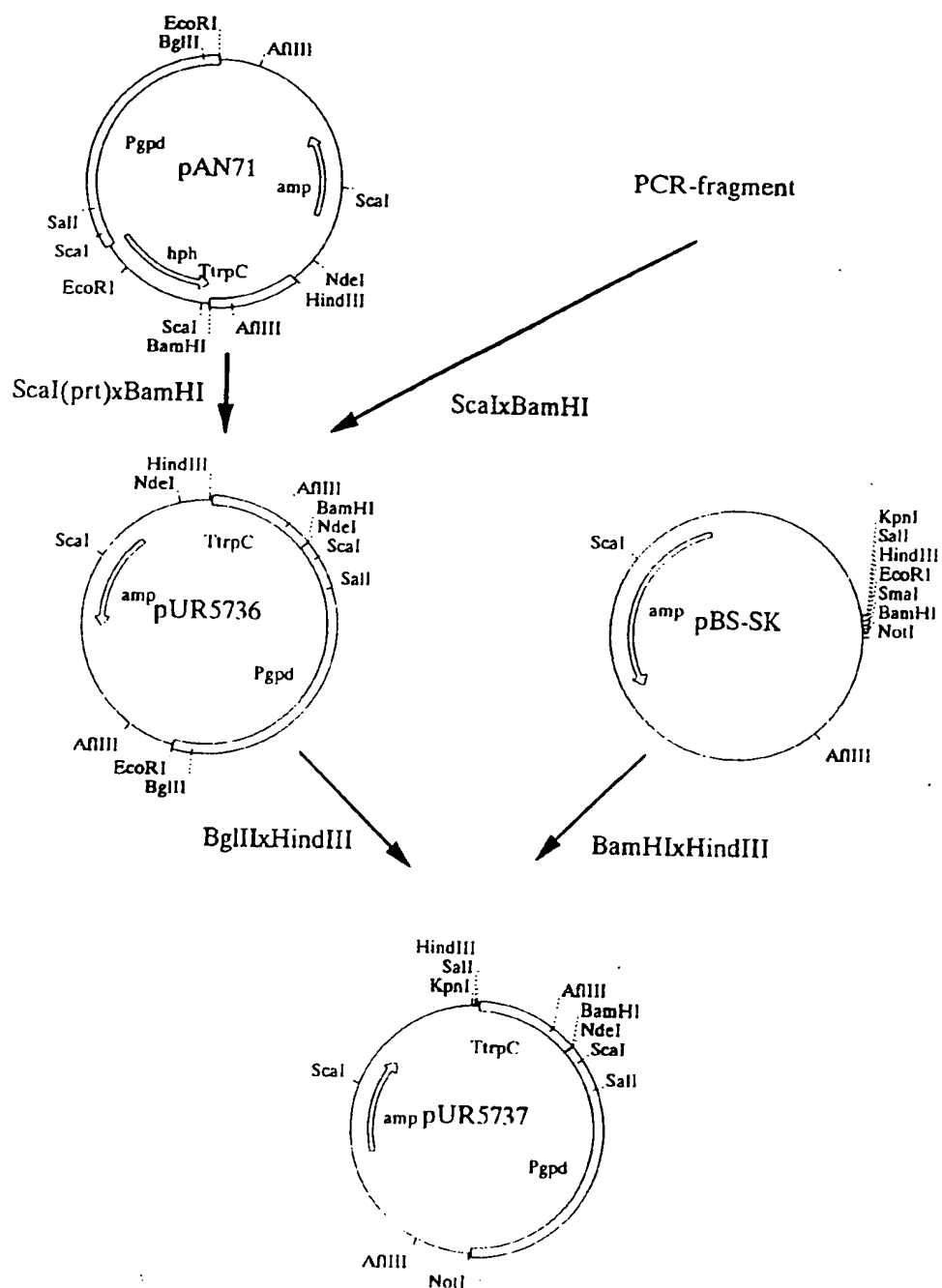
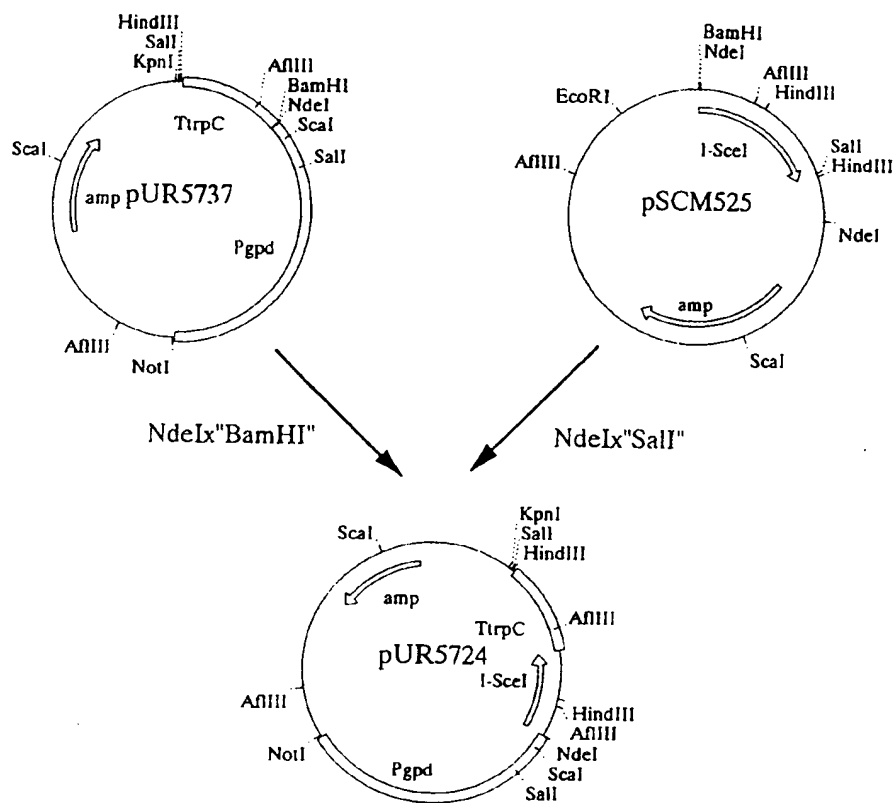


Figure 7.



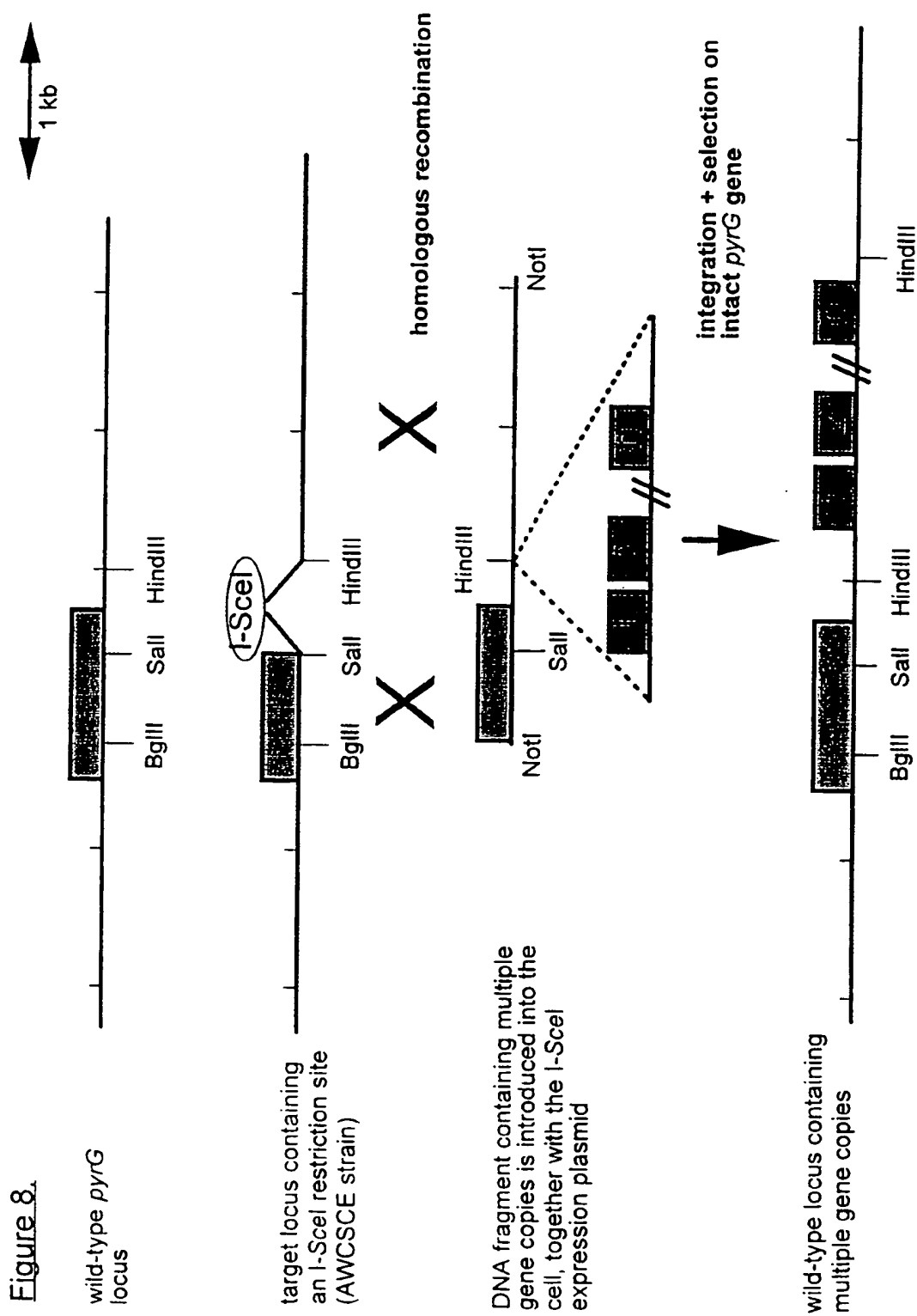


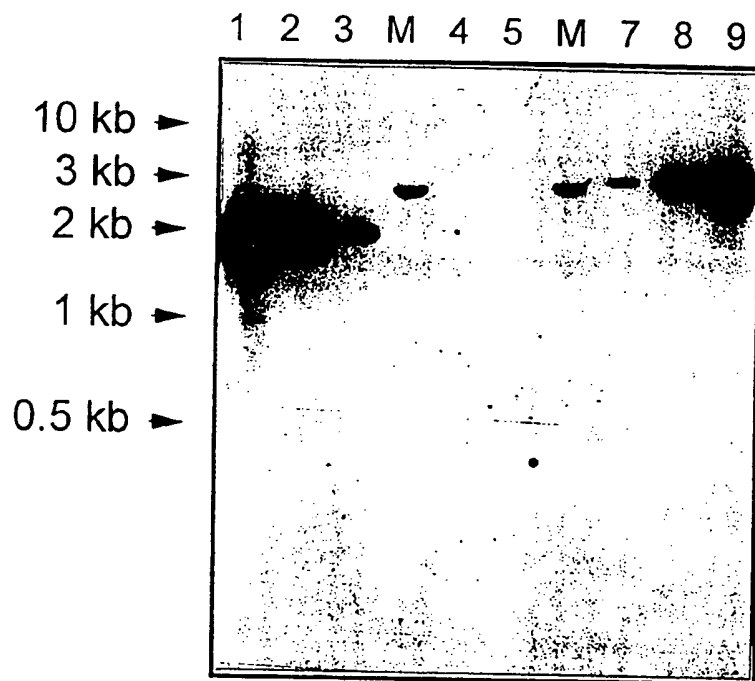
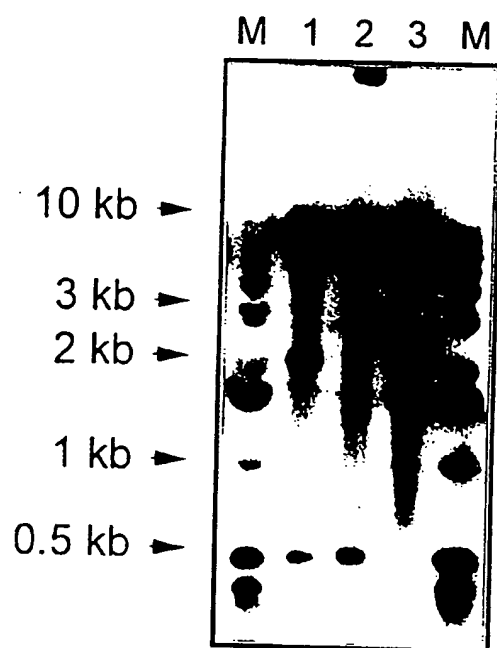
Figure 9

Figure 10

12/13

Figure 11.

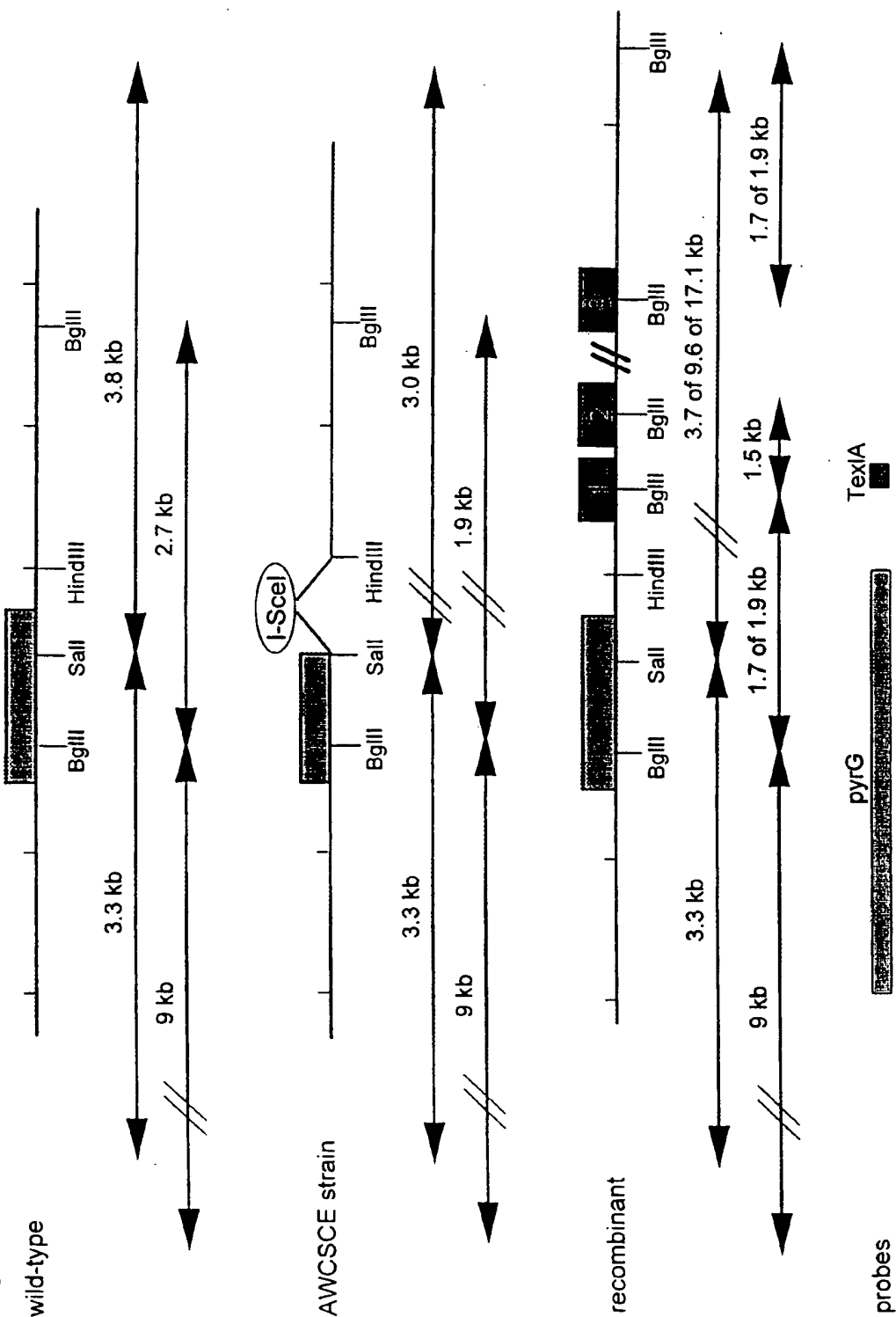
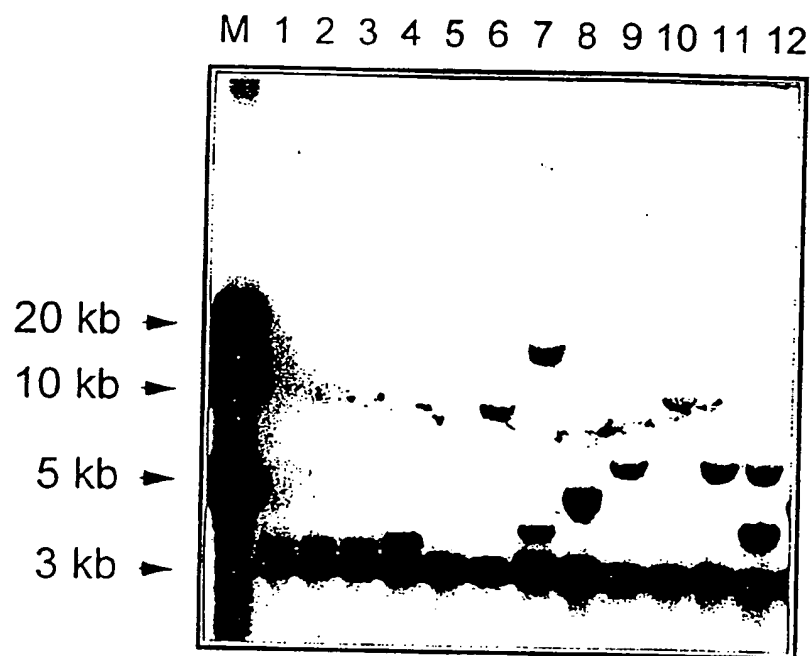




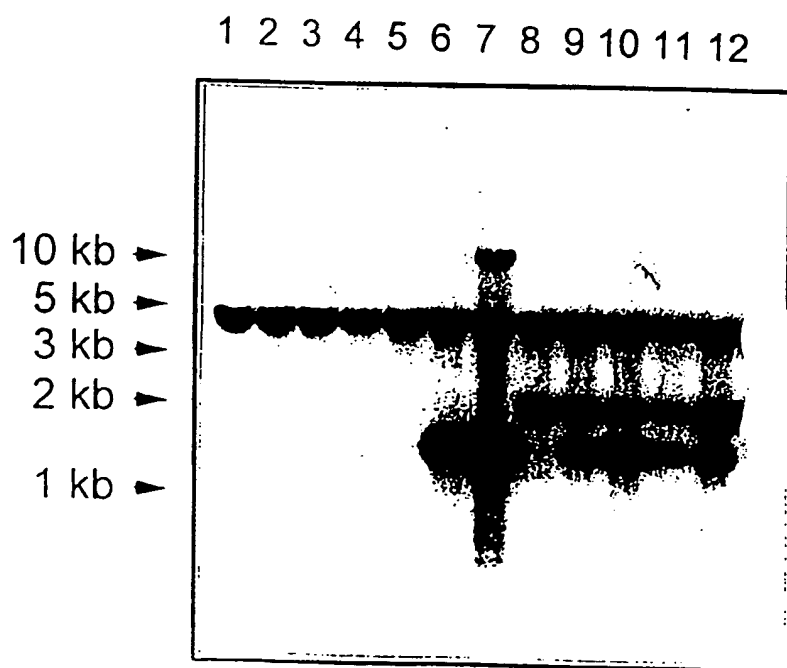
Figure 12

13/13

A



B



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 98/06519

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/80 C12N1/15 //(C12N1/15,C12R1:665,1:685,1:77,1:885)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	J.C. VERDOES ET AL.: "Glucosamylase overexpression in <i>Aspergillus niger</i> : molecular genetic analysis of strains containing multiple copies of the <i>glcA</i> gene." TRANSGENIC RESEARCH, vol. 2, 1993, pages 84-92, XP002078083 see the whole document	1-16
Y	T. FOWLER ET AL.: "The <i>catR</i> gene encoding a catalase from <i>Aspergillus niger</i> : primary structure and elevated expression through the increased gene copy number and use of a strong promoter" MOLECULAR MICROBIOLOGY, vol. 9, no. 5, 1993, pages 989-998, XP002041427 see the whole document	1-16

-/--

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

18 February 1999

Date of mailing of the international search report

05/03/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Hix, R

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/EP 98/06519

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	J.C. VERDOES ET AL.: "Evaluation of molecular and genetic approaches to generate glucoamylase overproducing strains of <i>Aspergillus niger</i> ." JOURNAL OF BIOTECHNOLOGY, vol. 36, 1994, pages 165-175, XP002093931 see the whole document	1-16
Y	R. GEISEN ET AL.: "Transformation of <i>Penicillium nalgiovense</i> with the amdS gene of <i>Aspergillus nidulans</i> ." CURRENT GENETICS, vol. 15, no. 4, April 1989, pages 307-309, XP002093932 see the whole document	1-16
Y	J.M. KELLY ET AL.: "Multiple copies of the amdS gene of <i>Aspergillus nidulans</i> cause titration of trans-acting regulatory proteins" CURRENT GENETICS, vol. 12, 1987, pages 21-32, XP002093933 see the whole document	1-16
Y	H.J.D. BUSSINK ET AL.: "Characterization of polygalacturonase-overproducing <i>Aspergillus niger</i> transformants" APPLIED MICROBIOL. BIOTECH., vol. 37, no. 3, June 1992, pages 324-329, XP002093936 see the whole document	1-16
Y	WO 92 10577 A (EUROLYSINE) 25 June 1992 see the whole document	1-16
Y	EP 0 778 348 A (UNILEVER NV ;UNILEVER PLC (GB)) 11 June 1997 see the whole document	1-16
P, Y	WO 98 07873 A (KIRIN BREWERY ;KONDO KEIJI (JP); MIURA YUTAKA (JP)) 26 February 1998 see the whole document	1-16
Y	WO 91 00920 A (UNILEVER PLC ;UNILEVER NV (NL)) 24 January 1991 cited in the application see the whole document	1-16
A	EP 0 635 574 A (GIST BROCADES NV) 25 January 1995 cited in the application see the whole document	
	-/--	

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 98/06519

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	I.A. VAN GEMEREN ET AL.: "The effect of pre- and pro-sequences and multicopy integration on heterologous expression of the <i>Fusarium solani</i> pisi cutinase gene in <i>Aspergillus awamori</i> " APPL. MICROBIOL. BIOTECHNOL., vol. 45, 1996, pages 755-763, XP002093937 cited in the application see the whole document	1-16
Y	J.C. VERDOES ET AL.: "Glucoamylase overexpression in <i>Aspergillus niger</i> : molecular genetic analysis of strains containing multiple copies of the <i>glaA</i> gene." TRANSGENIC RESEARCH, vol. 2, 1993, pages 84-92, XP002093938 cited in the application see the whole document	1-16
Y	E.M. KUBICEK-PRANZ ET AL.: "Transformation of <i>Trichoderma reesei</i> with the cellobiohydrolase II gene as a means for obtaining strains with increased cellulase production and specific activity." JOURNAL OF BIOTECHNOLOGY, vol. 20, 1991, pages 83-94, XP002093939 cited in the application see the whole document	1-16
A	R.J. GOUKA ET AL.: "A novel strategy for the isolation of defined <i>pyrG</i> mutants and the development of a site-specific integration system for <i>Aspergillus awamori</i> ." CURRENT GENETICS, vol. 27, no. 6, 1995, pages 536-540, XP002093940 cited in the application see the whole document	
Y	EP 0 249 350 A (PANLABS INC) 16 December 1987 see the whole document	1-16

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 98/06519

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9210577	A	25-06-1992	FR 2670502 A CA 2098304 A EP 0575338 A JP 6504201 T	19-06-1992 14-06-1992 29-12-1993 19-05-1994
EP 0778348	A	11-06-1997	EP 0481008 A AT 160176 T CA 2063592 A DE 69031710 D DE 69031710 T DK 481008 T WO 9100920 A ES 2109238 T JP 5501949 T	22-04-1992 15-11-1997 08-01-1991 18-12-1997 05-03-1998 04-05-1998 24-01-1992 16-01-1998 15-04-1993
WO 9807873	A	26-02-1998	AU 3868197 A	06-03-1998
WO 9100920	A	24-01-1991	AT 160176 T CA 2063592 A DE 69031710 D DE 69031710 T DK 481008 T EP 0481008 A EP 0778348 A ES 2109238 T JP 5501949 T	15-11-1997 08-01-1991 18-12-1997 05-03-1998 04-05-1998 22-04-1992 11-06-1997 16-01-1998 15-04-1993
EP 0635574	A	25-01-1995	AU 5302998 A AU 690909 B AU 6862894 A BR 9402912 A CA 2128589 A CN 1098743 A FI 943485 A IE 940591 A JP 7147971 A NZ 264065 A NZ 299139 A	14-05-1998 07-05-1998 02-02-1995 11-04-1995 24-01-1995 15-02-1995 24-01-1995 25-01-1995 13-06-1995 26-06-1998 26-06-1998
EP 0249350	A	16-12-1987	DK 288087 A FI 872102 A JP 63044890 A	07-12-1987 07-12-1987 25-02-1988

**This Page Blank (uspto)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**This Page Blank (uspto)**